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DOCKET NO. : MSFT-0181/142415.1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

Yuen Leung, Marcus Peinado, Cliff Strom

**Serial No.:** Not Yet Assigned

**Group Art Unit:** Not Yet Assigned

**Filing Date:** Herewith

**Examiner:** Not Yet Assigned

**For:** BINDING DIGITAL CONTENT TO A PORTABLE STORAGE DEVICE OR  
THE LIKE IN A DIGITAL RIGHTS MANAGEMENT (DRM) SYSTEM

**EXPRESS MAIL LABEL NO: EL531431168US**  
**DATE OF DEPOSIT: August 25, 2000**

Box  Patent Application  
 Provisional  Design

Assistant Commissioner for Patents  
Washington DC 20231

Sir:

**PATENT APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing, please find

A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

continuation  divisional  continuation-in-part of prior application number  
\_\_\_\_/\_\_\_\_\_.

A Provisional Patent Application under 37 C.F.R. 1.53(c).  
 A Design Patent Application (submitted in duplicate).

Including the following:

Provisional Application Cover Sheet.

New or Revised Specification, including pages 1 to 91 containing:

Specification

Claims

Abstract

Substitute Specification, including Claims and Abstract.

The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.

The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_, which in turn is a continuation-in-part of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.

A copy of earlier application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_, including Specification, Claims and Abstract (pages 1 - @@), to which no new matter has been added TOGETHER WITH a copy of the executed oath or declaration for such earlier application and all drawings and appendices. Such earlier application is hereby incorporated into the present application by reference.

Please enter the following amendment to the Specification under the Cross-Reference to Related Applications section (or create such a section) : "This Application:

is a continuation of  is a divisional of  claims benefit of U.S. provisional Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_

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Signed Statement attached deleting inventor(s) named in the prior application.

A Preliminary Amendment.

Sixteen (16) Sheets of  Formal  Informal Drawings.

Petition to Accept Photographic Drawings.

Petition Fee

An  Executed  Unexecuted Declaration or Oath and Power of Attorney.

An Associate Power of Attorney.

An  Executed  Copy of Executed Assignment of the Invention to \_\_\_\_\_

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A Recordation Form Cover Sheet.

Recordation Fee - \$40.00.

The prior application is assigned of record to \_\_\_\_\_

Priority is claimed under 35 U.S.C. § 119 of Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_ in \_\_\_\_\_ (country).

A Certified Copy of each of the above applications for which priority is claimed:

is enclosed.

has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

An  Executed or  Copy of Executed Earlier Statement Claiming Small Entity Status under 37 C.F.R. 1.9 and 1.27

is enclosed.

has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, said status is still proper and desired in present case.

Diskette Containing DNA/Amino Acid Sequence Information.

Statement to Support Submission of DNA/Amino Acid Sequence Information.

The computer readable form in this application \_\_\_\_\_, is identical with that filed in Application Serial Number \_\_\_\_\_, filed \_\_\_\_\_. In accordance with 37 CFR 1.821(e), please use the  first-filed,  last-filed or  only computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is  included in the originally-filed specification of the instant application,  included in a separately filed preliminary amendment for incorporation into the specification.

Information Disclosure Statement.

Attached Form 1449.

Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

A copy of Petition for Extension of Time as filed in the prior case.

Appended Material as follows: \_\_\_\_\_

Return Receipt Postcard (should be specifically itemized).

Other as follows: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

DOCKET NO. 0181/142415.1

**FEE CALCULATION:**

Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
PROVISIONAL APPLICATION	\$75.00	\$	\$150.00	\$0
DESIGN APPLICATION	\$155.00	\$	\$310.00	\$0
UTILITY APPLICATIONS BASE FEE	\$345.00	\$	\$690.00	\$690.00
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS				
	No. Filed	No. Extra		
TOTAL CLAIMS	46- 20 =	26	\$9 each	\$18 each
INDEP. CLAIMS	8- 3 =	5	\$39 each	\$78 each
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			\$130	\$260
ADDITIONAL FILING FEE			\$	\$0
TOTAL FILING FEE DUE			\$	\$1548.00

A Check is enclosed in the amount of \$ 1548.00.

The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.

The foregoing amount due.

Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.

Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

The issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance.

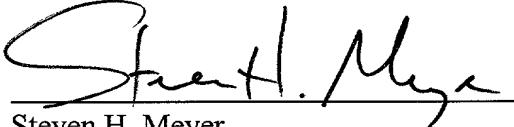
The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-

identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date:

*August 25, 2000*

  
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**Title of the Invention**

10 Binding Digital Content to a Portable Storage Device or the Like in a Digital Rights Management (DRM) System

**Cross-Reference to Related Application**

This application is related to U.S. Patent Application No.

15 09/290,363, filed April 12, 1999 and entitled "ENFORCEMENT ARCHITECTURE AND METHOD FOR DIGITAL RIGHTS MANAGEMENT", and U.S. Provisional Application No. 60/126,614, filed March 27, 1999 and entitled "ENFORCEMENT ARCHITECTURE AND METHOD FOR DIGITAL RIGHTS MANAGEMENT", both of which are hereby incorporated by reference.

20

**Technical Field**

The present invention relates to an architecture for enforcing rights in digital content. More specifically, the present invention relates to such an enforcement architecture that allows access to encrypted digital content only in accordance with parameters specified by license rights acquired by a user of the digital content.

**Background of the Invention**

Digital rights management and enforcement is highly desirable in connection with digital content such as digital audio, digital video, digital text,

digital data, digital multimedia, etc., where such digital content is to be distributed to users. Typical modes of distribution include tangible devices such as a magnetic (floppy) disk, a magnetic tape, an optical (compact) disk (CD), etc., and intangible media such as an electronic bulletin board, an electronic network, the 5 Internet, etc. Upon being received by the user, such user renders or 'plays' the digital content with the aid of an appropriate rendering device such as a media player on a personal computer or the like.

Typically, a content owner or rights-owner, such as an author, a publisher, a broadcaster, etc. (hereinafter "content owner"), wishes to distribute 10 such digital content to a user or recipient in exchange for a license fee or some other consideration. Such content owner, given the choice, would likely wish to restrict what the user can do with such distributed digital content. For example, the content owner would like to restrict the user from copying and re-distributing such content to a second user, at least in a manner that denies the content owner 15 a license fee from such second user.

In addition, the content owner may wish to provide the user with the flexibility to purchase different types of use licenses at different license fees, while at the same time holding the user to the terms of whatever type of license is in fact purchased. For example, the content owner may wish to allow distributed 20 digital content to be played only a limited number of times, only for a certain total time, only on a certain type of machine, only on a certain type of media player, only by a certain type of user, etc.

However, after distribution has occurred, such content owner has very little if any control over the digital content. This is especially problematic in 25 view of the fact that practically every new or recent personal computer includes the software and hardware necessary to make an exact digital copy of such digital content, and to download such exact digital copy to a write-able magnetic or optical disk, or to send such exact digital copy over a network such as the Internet to any destination.

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Of course, as part of the legitimate transaction where the license fee was obtained, the content owner may require the user of the digital content to promise not to re-distribute such digital content. However, such a promise is easily made and easily broken. A content owner may attempt to prevent such re-distribution through any of several known security devices, usually involving encryption and decryption. However, there is likely very little that prevents a mildly determined user from decrypting encrypted digital content, saving such digital content in an un-encrypted form, and then re-distributing same.

A need exists, then, for providing an enforcement architecture and method that allows the controlled rendering or playing of arbitrary forms of digital content, where such control is flexible and definable by the content owner of such digital content. A need also exists for providing a controlled rendering environment on a computing device such as a personal computer, where the rendering environment includes at least a portion of such enforcement architecture. Such controlled rendering environment allows that the digital content will only be rendered as specified by the content owner, even though the digital content is to be rendered on a computing device which is not under the control of the content owner.

Further, a need exists for a trusted component running on the computing device, where the trusted component enforces the rights of the content owner on such computing device in connection with a piece of digital content, even against attempts by the user of such computing device to access such digital content in ways not permitted by the content owner. As but one example, such a trusted software component prevents a user of the computing device from making a copy of such digital content, except as otherwise allowed for by the content owner thereof.

### Summary of the Invention

In the present invention, digital content is rendered on a device by transferring the content to the device and obtaining a digital license corresponding

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to the content. A sub-license corresponding to and based on the obtained license is composed and transferred to the device, and the content is rendered on the device only in accordance with the terms of the sub-license.

The content is encrypted and decryptable according to a content key, and the sub-license includes the content key encrypted and decryptable according to a secret. The sub-license also includes indexing information identifying the secret to the device. The indexing information in the sub-license is obtained to identify the secret, and the secret is acquired based at least in part on the indexing information. The secret is then applied to the encrypted content key to decrypt and obtain the content key, and the obtained content key is applied to the encrypted content to decrypt and obtain the content.

To compose the sub-license and also to render the content, the secret is derived by obtaining a device identifier, acquiring a super-secret, and applying the obtained device identifier and super-secret to a function to derive the secret.

#### **Brief Description of the Drawings**

The foregoing summary, as well as the following detailed description of the embodiments of the present invention, will be better understood when read in conjunction with the appended drawings. For the purpose of illustrating the invention, there are shown in the drawings embodiments which are presently preferred. As should be understood, however, the invention is not limited to the precise arrangements and instrumentalities shown. In the drawings:

Fig. 1 is a block diagram showing an enforcement architecture in accordance with one embodiment of the present invention;

Fig. 2 is a block diagram of the authoring tool of the architecture of Fig. 1 in accordance with one embodiment of the present invention;

Fig. 3 is a block diagram of a digital content package having digital content for use in connection with the architecture of Fig. 1 in accordance with one embodiment of the present invention;

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Fig. 4 is a block diagram of the user's computing device of Fig. 1 in accordance with one embodiment of the present invention;

Figs. 5A and 5B are flow diagrams showing the steps performed in connection with the Digital Rights Management (DRM) system of the computing device of Fig. 4 to render content in accordance with one embodiment of the present invention;

Fig. 6 is a flow diagram showing the steps performed in connection with the DRM system of Fig. 4 to determine whether any valid, enabling licenses are present in accordance with one embodiment of the present invention;

10 Fig. 7 is a flow diagram showing the steps performed in connection with the DRM system of Fig. 4 to obtain a license in accordance with one embodiment of the present invention;

15 Fig. 8 is a block diagram of a digital license for use in connection with the architecture of Fig. 1 in accordance with one embodiment of the present invention;

Fig. 9 is a flow diagram showing the steps performed in connection with the DRM system of Fig. 4 to obtain a new black box in accordance with one embodiment of the present invention;

20 Fig. 10 is a flow diagram showing the key transaction steps performed in connection with the DRM system of Fig. 4 to validate a license and a piece of digital content and render the content in accordance with one embodiment of the present invention;

25 Fig. 11 is a block diagram showing the license evaluator of Fig. 4 along with a Digital Rights License (DRL) of a license and a language engine for interpreting the DRL in accordance with one embodiment of the present invention;

Fig. 12 is a block diagram representing a general purpose computer system in which aspects of the present invention and/or portions thereof may be incorporated;

30 Fig. 13 is a block diagram representing an arrangement wherein a portable device couples to a computer for purposes of downloading content and a

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corresponding sub-license in accordance with one embodiment of the present invention;

Fig. 14 is a flow diagram showing steps performed in delivering digital content and a sub-license from the computer to the portable device of Fig. 1.

5 13 in accordance with one embodiment of the present invention;

Fig. 15 is a block diagram showing a license and a sub-license derived from the license in the manner shown in Fig. 14 in accordance with one embodiment of the present invention;

10 Fig. 16 is a flow diagram showing steps performed by the portable device of Fig. 13 in rendering content based on a sub-license in accordance with one embodiment of the present invention: and

Figs. 17 and 18 are flow diagrams showing steps performed by the computer (Fig. 17) and the portable device (Fig. 18) of Fig. 13 to derive a shared secret in accordance with one embodiment of the present invention.

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## Detailed Description of the Invention

Referring to the drawings in details, wherein like numerals are used to indicate like elements throughout, there is shown in Fig. 1 an enforcement architecture 10 in accordance with one embodiment of the present invention.

20 Overall, the enforcement architecture 10 allows an owner of digital content 12 to specify license rules that must be satisfied before such digital content 12 is allowed to be rendered on a user's computing device 14. Such license rules are embodied within a digital license 16 that the user / user's computing device 14 (hereinafter, such terms are interchangeable unless circumstances require 25 otherwise) must obtain from the content owner or an agent thereof. The digital content 12 is distributed in an encrypted form, and may be distributed freely and widely. Preferably, the decrypting key (KD) for decrypting the digital content 12 is included with the license 16.

## COMPUTER ENVIRONMENT

Fig. 12 and the following discussion are intended to provide a brief general description of a suitable computing environment in which the present invention and/or portions thereof may be implemented. Although not required, the 5 invention is described in the general context of computer-executable instructions, such as program modules, being executed by a computer, such as a client workstation or a server. Generally, program modules include routines, programs, objects, components, data structures and the like that perform particular tasks or implement particular abstract data types. Moreover, it should be appreciated that 10 the invention and/or portions thereof may be practiced with other computer system configurations, including hand-held devices, multi-processor systems, microprocessor-based or programmable consumer electronics, network PCs, minicomputers, mainframe computers and the like. The invention may also be practiced in distributed computing environments where tasks are performed by 15 remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote memory storage devices.

As shown in Fig. 12, an exemplary general purpose computing system includes a conventional personal computer 120 or the like, including a 20 processing unit 121, a system memory 122, and a system bus 123 that couples various system components including the system memory to the processing unit 121. The system bus 123 may be any of several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. The system memory includes read-only memory 25 (ROM) 124 and random access memory (RAM) 125. A basic input/output system 126 (BIOS), containing the basic routines that help to transfer information between elements within the personal computer 120, such as during start-up, is stored in ROM 124.

The personal computer 120 may further include a hard disk drive 30 127 for reading from and writing to a hard disk (not shown), a magnetic disk drive

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128 for reading from or writing to a removable magnetic disk 129, and an optical disk drive 130 for reading from or writing to a removable optical disk 131 such as a CD-ROM or other optical media. The hard disk drive 127, magnetic disk drive 128, and optical disk drive 130 are connected to the system bus 123 by a hard disk drive interface 132, a magnetic disk drive interface 133, and an optical drive interface 134, respectively. The drives and their associated computer-readable media provide non-volatile storage of computer readable instructions, data structures, program modules and other data for the personal computer 120.

10 Although the exemplary environment described herein employs a hard disk, a removable magnetic disk 129, and a removable optical disk 131, it should be appreciated that other types of computer readable media which can store data that is accessible by a computer may also be used in the exemplary operating environment. Such other types of media include a magnetic cassette, a flash memory card, a digital video disk, a Bernoulli cartridge, a random access 15 memory (RAM), a read-only memory (ROM), and the like.

A number of program modules may be stored on the hard disk, magnetic disk 129, optical disk 131, ROM 124 or RAM 125, including an operating system 135, one or more application programs 136, other program modules 137 and program data 138. A user may enter commands and information into the personal computer 120 through input devices such as a keyboard 140 and pointing device 142. Other input devices (not shown) may include a microphone, joystick, game pad, satellite disk, scanner, or the like. These and other input devices are often connected to the processing unit 121 through a serial port interface 146 that is coupled to the system bus, but may be connected by other interfaces, such as a parallel port, game port, or universal serial bus (USB). A monitor 147 or other type of display device is also connected to the system bus 123 via an interface, such as a video adapter 148. In addition to the monitor 147, a personal computer typically includes other peripheral output devices (not shown), such as speakers and printers. The exemplary system of Fig. 12 also

includes a host adapter 155, a Small Computer System Interface (SCSI) bus 156, and an external storage device 162 connected to the SCSI bus 156.

The personal computer 120 may operate in a networked environment using logical connections to one or more remote computers, such as 5 a remote computer 149. The remote computer 149 may be another personal computer, a server, a router, a network PC, a peer device or other common network node, and typically includes many or all of the elements described above relative to the personal computer 120, although only a memory storage device 150 has been illustrated in Fig. 12. The logical connections depicted in Fig. 12 10 include a local area network (LAN) 151 and a wide area network (WAN) 152. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets, and the Internet.

When used in a LAN networking environment, the personal computer 120 is connected to the LAN 151 through a network interface or adapter 153. When used in a WAN networking environment, the personal computer 120 typically includes a modem 154 or other means for establishing communications over the wide area network 152, such as the Internet. The modem 154, which may be internal or external, is connected to the system bus 123 via the serial port interface 146. In a networked environment, program modules depicted relative to 20 the personal computer 120, or portions thereof, may be stored in the remote memory storage device. It will be appreciated that the network connections shown are exemplary and other means of establishing a communications link between the computers may be used.

## 25 ARCHITECTURE

Referring again to Fig. 1, in one embodiment of the present invention, the architecture 10 includes an authoring tool 18, a content-key database 20, a content server 22, a license server 24, and a black box server 26, as well as the aforementioned user's computing device 14.

## ARCHITECTURE - Authoring Tool 18

The authoring tool 18 is employed by a content owner to package a piece of digital content 12 into a form that is amenable for use in connection with the architecture 10 of the present invention. In particular, the content owner 5 provides the authoring tool 18 with the digital content 12, instructions and/or rules that are to accompany the digital content 12, and instructions and/or rules as to how the digital content 12 is to be packaged. The authoring tool 18 then produces a digital content package 12p having the digital content 12 encrypted according to an encryption / decryption key, and the instructions and/or rules that 10 accompany the digital content 12.

In one embodiment of the present invention, the authoring tool 18 is instructed to serially produce several different digital content 12 packages 12p, each having the same digital content 12 encrypted according to a different encryption / decryption key. As should be understood, having several different 15 packages 12p with the same digital content 12 may be useful for tracking the distribution of such packages 12p / content 12 (hereinafter simply "digital content 12", unless circumstances require otherwise). Such distribution tracking is not ordinarily necessary, but may be used by an investigative authority in cases 20 where the digital content 12 has been illegally sold or broadcast.

In one embodiment of the present invention, the encryption / decryption key that encrypts the digital content 12 is a symmetric key, in that the encryption key is also the decryption key (KD). As will be discussed below in more detail, such decryption key (KD) is delivered to a user's computing device 14 in a hidden form as part of a license 16 for such digital content 12. Preferably, 25 each piece of digital content 12 is provided with a content ID (or each package 12p is provided with a package ID), each decryption key (KD) has a key ID, and the authoring tool 18 causes the decryption key (KD), key ID, and content ID (or package ID) for each piece of digital content 12 (or each package 12p) to be stored in the content-key database 20. In addition, license data regarding the 30 types of licenses 16 to be issued for the digital content 12 and the terms and

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conditions for each type of license 16 may be stored in the content-key database 20, or else in another database (not shown). Preferably, the license data can be modified by the content owner at a later time as circumstances and market conditions may require.

5           In use, the authoring tool 18 is supplied with information including, among other things:

              - the digital content 12 to be packaged;

              - the type and parameters of watermarking and/or fingerprinting to be employed, if any;

10           - the type and parameters of data compression to be employed, if any;

              - the type and parameters of encryption to be employed;

              - the type and parameters of serialization to be employed, if any;

and

15           - the instructions and/or rules that are to accompany the digital content 12.

As is known, a watermark is a hidden, computer-readable signal that is added to the digital content 12 as an identifier. A fingerprint is a watermark that is different for each instance. As should be understood, an instance is a version 20 of the digital content 12 that is unique. Multiple copies of any instance may be made, and any copy is of a particular instance. When a specific instance of digital content 12 is illegally sold or broadcast, an investigative authority can perhaps identify suspects according to the watermark / fingerprint added to such digital content 12.

25           Data compression may be performed according to any appropriate compression algorithm without departing from the spirit and scope of the present invention. For example, the .mp3 or .wav compression algorithm may be employed. Of course, the digital content 12 may already be in a compressed state, in which case no additional compression is necessary.

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The instructions and/or rules that are to accompany the digital content 12 may include practically any appropriate instructions, rules, or other information without departing from the spirit and scope of the present invention. As will be discussed below, such accompanying instructions / rules / information 5 are primarily employed by the user and the user's computing device 14 to obtain a license 16 to render the digital content 12. Accordingly, such accompanying instructions / rules / information may include an appropriately formatted license acquisition script or the like, as will be described in more detail below. In addition, or in the alternative, such accompanying instructions / rules / information may 10 include 'preview' information designed to provide a user with a preview of the digital content 12.

With the supplied information, the authoring tool 18 then produces one or more packages 12p corresponding to the digital content 12. Each package 12p may then be stored on the content server 22 for distribution to the 15 world.

In one embodiment of the present invention, and referring now to Fig. 2, the authoring tool 18 is a dynamic authoring tool 18 that receives input parameters which can be specified and operated on. Accordingly, such authoring tool 18 can rapidly produce multiple variations of package 12p for multiple pieces 20 of digital content 12. Preferably, the input parameters are embodied in the form of a dictionary 28, as shown, where the dictionary 28 includes such parameters as:

- the name of the input file 29a having the digital content 12;

- the type of encoding that is to take place

- the encryption / decryption key (KD) to be employed,

- the accompanying instructions / rules / information ('header 25 information') to be packaged with the digital content 12 in the package 12p.

- the type of muxing that is to occur; and

- the name of the output file 29b to which the package 12p based on the digital content 12 is to be written.

As should be understood, such dictionary 28 is easily and quickly modifiable by an operator of the authoring tool 18 (human or machine), and therefore the type of authoring performed by the authoring tool 18 is likewise easily and quickly modifiable in a dynamic manner. In one embodiment of the 5 present invention, the authoring tool 18 includes an operator interface (not shown) displayable on a computer screen to a human operator. Accordingly, such operator may modify the dictionary 28 by way of the interface, and further may be appropriately aided and/or restricted in modifying the dictionary 28 by way of the interface.

10 In the authoring tool 18, and as seen in Fig. 2, a source filter 18a receives the name of the input file 29a having the digital content 12 from the dictionary 28, and retrieves such digital content 12 from such input file and places the digital content 12 into a memory 29c such as a RAM or the like. An encoding filter 18b then performs encoding on the digital content 12 in the memory 29c to 15 transfer the file from the input format to the output format according to the type of encoding specified in the dictionary 28 (i.e., .wav to .asp, .mp3 to .asp, etc.), and places the encoded digital content 12 in the memory 29c. As shown, the digital content 12 to be packaged (music, e.g.) is received in a compressed format such as the .wav or .mp3 format, and is transformed into a format such as the .asp 20 (active streaming protocol) format. Of course, other input and output formats may be employed without departing from the spirit and scope of the present invention.

Thereafter, an encryption filter 18c encrypts the encoded digital content 12 in the memory 29c according to the encryption / decryption key (KD) specified in the dictionary 28, and places the encrypted digital content 12 in the 25 memory 29c. A header filter 18d then adds the header information specified in the dictionary 28 to the encrypted digital content 12 in the memory 29c.

As should be understood, depending on the situation, the package 12p may include multiple streams of temporally aligned digital content 12 (one stream being shown in Fig. 2), where such multiple streams are multiplexed (i.e., 30 'muxed'). Accordingly, a mux filter 18e performs muxing on the header

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information and encrypted digital content 12 in the memory 29c according to the type of muxing specified in the dictionary 28, and places the result in the memory 29c. A file writer filter 18f then retrieves the result from the memory 29c and writes such result to the output file 29b specified in the dictionary 28 as the

5 package 12p.

It should be noted that in certain circumstances, the type of encoding to be performed will not normally change. Since the type of muxing typically is based on the type of encoding, it is likewise the case that the type of muxing will not normally change, either. If this is in fact the case, the dictionary

10 28 need not include parameters on the type of encoding and/or the type of muxing. Instead, it is only necessary that the type of encoding be 'hardwired' into the encoding filter and/or that the type of muxing be 'hardwired' into the mux filter. Of course, as circumstance require, the authoring tool 18 may not include all of the aforementioned filters, or may include other filters, and any included filter may  
15 28 need not include parameters on the type of encoding and/or the type of muxing. Instead, it is only necessary that the type of encoding be 'hardwired' into the encoding filter and/or that the type of muxing be 'hardwired' into the mux filter. Of course, as circumstance require, the authoring tool 18 may not include all of the aforementioned filters, or may include other filters, and any included filter may  
15 be hardwired or may perform its function according to parameters specified in the dictionary 28, all without departing from the spirit and scope of the present invention.

Preferably, the authoring tool 18 is implemented on an appropriate computer, processor, or other computing machine by way of appropriate software.

20 The structure and operation of such machine and such software should be apparent based on the disclosure herein and therefore do not require any detailed discussion in the present disclosure.

## ARCHITECTURE - Content Server 22

25 Referring again to Fig. 1, in one embodiment of the present invention, the content server 22 distributes or otherwise makes available for retrieval the packages 12p produced by the authoring tool 18. Such packages 12p may be distributed as requested by the content server 22 by way of any appropriate distribution channel without departing from the spirit and scope of the  
30 present invention. For example, such distribution channel may be the Internet or

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another network, an electronic bulletin board, electronic mail, or the like. In addition, the content server 22 may be employed to copy the packages 12p onto magnetic or optical disks or other storage devices, and such storage devices may then be distributed.

5 It will be appreciated that the content server 22 distributes packages 12p without regard to any trust or security issues. As discussed below, such issues are dealt with in connection with the license server 24 and the relationship between such license server 24 and the user's computing device 14. In one embodiment of the present invention, the content server 22 freely releases and  
10 distributes packages 12p having digital content 12 to any distributee requesting same. However, the content server 22 may also release and distribute such packages 12p in a restricted manner without departing from the spirit and scope of the present invention. For example, the content server 22 may first require payment of a pre-determined distribution fee prior to distribution, or may require  
15 that a distributee identify itself, or may indeed make a determination of whether distribution is to occur based on an identification of the distributee.

In addition, the content server 22 may be employed to perform inventory management by controlling the authoring tool 18 to generate a number of different packages 12p in advance to meet an anticipated demand. For  
20 example, the server could generate 100 packages 12p based on the same digital content 12, and serve each package 12p 10 times. As supplies of packages 12p dwindle to 20, for example, the content server 22 may then direct the authoring tool 18 to generate 80 additional packages 12p, again for example.

Preferably, the content server 22 in the architecture 10 has a unique  
25 public / private key pair (PU-CS, PR-CS) that is employed as part of the process of evaluating a license 16 and obtaining a decryption key (KD) for decrypting corresponding digital content 12, as will be explained in more detail below. As is known, a public / private key pair is an asymmetric key, in that what is encrypted in one of the keys in the key pair can only be decrypted by the other of the keys in  
30 the key pair. In a public / private key pair encryption system, the public key may

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be made known to the world, but the private key should always be held in confidence by the owner of such private key. Accordingly, if the content server 22 encrypts data with its private key (PR-CS), it can send the encrypted data out into the world with its public key (PU-CS) for decryption purposes. Correspondingly, if 5 an external device wants to send data to the content server 22 so that only such content server 22 can decrypt such data, such external device must first obtain the public key of the content server 22 (PU-CS) and then must encrypt the data with such public key. Accordingly, the content server 22 (and only the content server 22) can then employ its private key (PR-CS) to decrypt such encrypted 10 data.

As with the authoring tool 18, the content server 22 is implemented on an appropriate computer, processor, or other computing machine by way of appropriate software. The structure and operation of such machine and such software should be apparent based on the disclosure herein and therefore do not 15 require any detailed discussion in the present disclosure. Moreover, in one embodiment of the present invention, the authoring tool 18 and the content server 22 may reside on a single computer, processor, or other computing machine, each in a separate work space. It should be recognized, moreover, that the content server 22 may in certain circumstances include the authoring tool 18 20 and/or perform the functions of the authoring tool 18, as discussed above.

#### Structure of Digital Content Package 12p

Referring now to Fig. 3, in one embodiment of the present invention, the digital content package 12p as distributed by the content server 22 includes:

25 - the digital content 12 encrypted with the encryption / decryption key (KD), as was discussed above (i.e., (KD(CONTENT)));  
- the content ID (or package ID) of such digital content 12 (or package 12p);  
- the key ID of the decryption key (KD);

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- license acquisition information, preferably in an un-encrypted form; and

5 - the key KD encrypting the content server 22 public key (PU-CS), signed by the content server 22 private key (PR-CS) (i.e., (KD (PU-CS) S (PR- CS))).

With regard to (KD (PU-CS) S (PR-CS)), it is to be understood that such item is to be used in connection with validating the digital content 12 and/or package 12p, as will be explained below. Unlike a certificate with a digital signature (see below), the key (PU-CS) is not necessary to get at (KD (PU-CS)).

10 Instead, the key (PU-CS) is obtained merely by applying the decryption key (KD). Once so obtained, such key (PU-CS) may be employed to test the validity of the signature (S (PR-CS)).

15 It should also be understood that for such package 12p to be constructed by the authoring tool 18, such authoring tool 18 must already possess the license acquisition information and (KD (PU-CS) S (PR-CS)), presumably as header information supplied by the dictionary 28. Moreover, the authoring tool 18 and the content server 22 must presumably interact to construct (KD (PU-CS) S (PR-CS)). Such interaction may for example include the steps of:

20 - the content server 22 sending (PU-CS) to the authoring tool 18; - the authoring tool 18 encrypting (PU-CS) with (KD) to produce (KD (PU-CS));

22; - the authoring tool 18 sending (KD (PU-CS)) to the content server

25 produce (KD (PU-CS) S (PR-CS)); and

- the content server 22 sending (KD (PU-CS) S (PR-CS)) to the authoring tool 18.

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ARCHITECTURE - License Server 24

Referring again to Fig. 1, in one embodiment of the present invention, the license server 24 performs the functions of receiving a request for a license 16 from a user's computing device 14 in connection with a piece of digital content 12, determining whether the user's computing device 14 can be trusted to honor an issued license 16, negotiating such a license 16, constructing such license 16, and transmitting such license 16 to the user's computing device 14. Preferably, such transmitted license 16 includes the decryption key (KD) for decrypting the digital content 12. Such license server 24 and such functions will be explained in more detail below. Preferably, and like the content server 22, the license server 24 in the architecture 10 has a unique public / private key pair (PU-LS, PR-LS) that is employed as part of the process of evaluating a license 16 and obtaining a decryption key (KD) for decrypting corresponding digital content 12, as will be explained in more detail below.

15 As with the authoring tool 18 and the content server 22, the license server 24 is implemented on an appropriate computer, processor, or other computing machine by way of appropriate software. The structure and operation of such machine and such software should be apparent based on the disclosure herein and therefore do not require any detailed discussion in the present 20 disclosure. Moreover, in one embodiment of the present invention the authoring tool 18 and/or the content server 22 may reside on a single computer, processor, or other computing machine together with the license server 24, each in a separate work space.

In one embodiment of the present invention, prior to issuance of a  
25 license 16, the license server 24 and the content server 22 enter into an agency  
agreement or the like, wherein the license server 24 in effect agrees to be the  
licensing authority for at least a portion of the digital content 12 distributed by the  
content server 22. As should be understood, one content server 22 may enter  
into an agency agreement or the like with several license servers 24, and/or one  
30 license server 24 may enter into an agency agreement or the like with several

content servers 22, all without departing from the spirit and scope of the present invention.

Preferably, the license server 24 can show to the world that it does in fact have the authority to issue a license 16 for digital content 12 distributed by 5 the content server 22. To do so, it is preferable that the license server 24 send to the content server 22 the license server 24 public key (PU-LS), and that the content server 22 then send to the license server 24 a digital certificate containing PU-LS as the contents signed by the content server 22 private key (CERT (PU-LS) S (PR-CS)). As should be understood, the contents (PU-LS) in such 10 certificate can only be accessed with the content server 22 public key (PU-CS). As should also be understood, in general, a digital signature of underlying data is an encrypted form of such data, and will not match such data when decrypted if such data has been adulterated or otherwise modified.

As a licensing authority in connection with a piece of digital content 15 12, and as part of the licensing function, the license server 24 must have access to the decryption key (KD) for such digital content 12. Accordingly, it is preferable that license server 24 have access to the content-key database 20 that has the decryption key (KD), key ID, and content ID (or package ID) for such digital content 12 (or package 12p).

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#### ARCHITECTURE - Black Box Server 26

Still referring to Fig. 1, in one embodiment of the present invention, the black box server 26 performs the functions of installing and/or upgrading a new black box 30 in a user's computing device 14. As will be explained in more 25 detail below, the black box 30 performs encryption and decryption functions for the user's computing device 14. As will also be explained in more detail below, the black box 30 is intended to be secure and protected from attack. Such security and protection is provided, at least in part, by upgrading the black box 30 to a new version as necessary by way of the black box server 26, as will be 30 explained in more detail below.

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As with the authoring tool 18, the content server 22, and the license server 24, the black box server 26 is implemented on an appropriate computer, processor, or other computing machine by way of appropriate software. The structure and operation of such machine and such software should be apparent

5 based on the disclosure herein and therefore do not require any detailed discussion in the present disclosure. Moreover, in one embodiment of the present invention the license server 24, the authoring tool 18, and/or the content server 22 may reside on a single computer, processor, or other computing machine together with the black box server 26, each in a separate work space. Note, though, that

10 for security purposes, it may be wise to have the black box server 26 on a separate machine.

#### ARCHITECTURE - User's Computing Device 14

Referring now to Fig. 4, in one embodiment of the present invention,

15 the user's computing device 14 is a personal computer or the like, having elements including a keyboard, a mouse, a screen, a processor, RAM, ROM, a hard drive, a floppy drive, a CD player, and/or the like. However, the user's computing device 14 may also be a dedicated viewing device such as a television or monitor, a dedicated audio device such as a stereo or other music player, a

20 dedicated printer, or the like, among other things, all without departing from the spirit and scope of the present invention.

The content owner for a piece of digital content 12 must trust that the user's computing device 14 will abide by the rules specified by such content owner, i.e. that the digital content 12 will not be rendered unless the user obtains

25 a license 16 that permits the rendering in the manner sought. Preferably, then, the user's computing device 14 must provide a trusted component or mechanism 32 that can satisfy to the content owner that such computing device 14 will not render the digital content 12 except according to the license rules embodied in the license 16 associated with the digital content 12 and obtained by the user.

Here, the trusted mechanism 32 is a Digital Rights Management (DRM) system 32 that is enabled when a user requests that a piece of digital content 12 be rendered, that determines whether the user has a license 16 to render the digital content 12 in the manner sought, that effectuates obtaining such a license 16 if necessary, that determines whether the user has the right to play the digital content 12 according to the license 16, and that decrypts the digital content 12 for rendering purposes if in fact the user has such right according to such license 16. The contents and function of the DRM system 32 on the user's computing device 14 and in connection with the architecture 10 are described below.

## DRM SYSTEM 32

The DRM system 32 performs four main functions with the architecture 10 disclosed herein: (1) content acquisition, (2) license acquisition, 15 (3) content rendering, and (4) black box 30 installation / update. Preferably, any of the functions can be performed at any time, although it is recognized that some of the functions already require that digital content 12 be acquired.

## DRM SYSTEM 32 - Content Acquisition

20 Acquisition of digital content 12 by a user and/or the user's computing device 14 is typically a relatively straight-forward matter and generally involves placing a file having encrypted digital content 12 on the user's computing device 14. Of course, to work with the architecture 10 and the DRM system 32 disclosed herein, it is necessary that the encrypted digital content 12 be in a form 25 that is amenable to such architecture 10 and DRM system 32, such as the digital package 12p as will be described below.

As should be understood, the digital content 12 may be obtained in any manner from a content server 22, either directly or indirectly, without departing from the spirit and scope of the present invention. For example, such

digital content 12 may be downloaded from a network such as the Internet, located on an obtained optical or magnetic disk or the like, received as part of an E-mail message or the like, or downloaded from an electronic bulletin board or the like.

5           Such digital content 12, once obtained, is preferably stored in a manner such that the obtained digital content 12 is accessible by a rendering application 34 (to be described below) running on the computing device 14, and by the DRM system 32. For example, the digital content 12 may be placed as a file on a hard drive (not shown) of the user's computing device 14, or on a  
10 network server (not shown) accessible to the computing device 14. In the case where the digital content 12 is obtained on an optical or magnetic disk or the like, it may only be necessary that such disk be present in an appropriate drive (not shown) coupled to the user's computing device 14.

15           In the present invention, it is not envisioned that any special tools are necessary to acquire digital content 12, either from the content server 22 as a direct distribution source or from some intermediary as an indirect distribution source. That is, it is preferable that digital content 12 be as easily acquired as any other data file. However, the DRM system 32 and/or the rendering application 34 may include an interface (not shown) designed to assist the user in  
20 obtaining digital content 12 . For example, the interface may include a web browser especially designed to search for digital content 12, links to pre-defined Internet web sites that are known to be sources of digital content 12, and the like.

#### DRM SYSTEM 32 - Content Rendering, Part 1

25           Referring now to Fig. 5A, in one embodiment of the present invention, assuming the encrypted digital content 12 has been distributed to and received by a user and placed by the user on the computing device 14 in the form of a stored file, the user will attempt to render the digital content 12 by executing some variation on a render command (step 501). For example, such render  
30 command may be embodied as a request to 'play' or 'open' the digital content 12.

In some computing environments, such as for example the "MICROSOFT WINDOWS" operating system, distributed by MICROSOFT Corporation of Redmond, Washington, such play or open command may be as simple as 'clicking' on an icon representative of the digital content 12. Of course, other 5 embodiments of such render command may be employed without departing from the spirit and scope of the present invention. In general, such render command may be considered to be executed whenever a user directs that a file having digital content 12 be opened, run, executed, and/or the like.

Importantly, and in addition, such render command may be 10 embodied as a request to copy the digital content 12 to another form, such as to a printed form, a visual form, an audio form, etc. As should be understood, the same digital content 12 may be rendered in one form, such as on a computer screen, and then in another form, such as a printed document. In the present invention, each type of rendering is performed only if the user has the right to do 15 so, as will be explained below.

In one embodiment of the present invention, the digital content 12 is in the form of a digital file having a file name ending with an extension, and the computing device 14 can determine based on such extension to start a particular kind of rendering application 34. For example, if the file name extension indicates 20 that the digital content 12 is a text file, the rendering application 34 is some form of word processor such as the "MICROSOFT WORD", distributed by MICROSOFT Corporation of Redmond, Washington. Likewise, if the file name extension indicates that the digital content 12 is an audio, video, and/or multimedia file, the rendering application 34 is some form of multimedia player, 25 such as "MICROSOFT MEDIA PLAYER", also distributed by MICROSOFT Corporation of Redmond, Washington.

Of course, other methods of determining a rendering application may be employed without departing from the spirit and scope of the present invention. As but one example, the digital content 12 may contain meta-data in 30 an un-encrypted form (i.e., the aforementioned header information), where the

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meta-data includes information on the type of rendering application 34 necessary to render such digital content 12.

Preferably, such rendering application 34 examines the digital content 12 associated with the file name and determines whether such digital content 12 is encrypted in a rights-protected form (steps 503, 505). If not protected, the digital content 12 may be rendered without further ado (step 507). If protected, the rendering application 34 determines from the encrypted digital content 12 that the DRM system 32 is necessary to play such digital content 12. Accordingly, such rendering application 34 directs the user's computing device 14 to run the DRM system 32 thereon (step 509). Such rendering application 34 then calls such DRM system 32 to decrypt the digital content 12 (step 511). As will be discussed in more detail below, the DRM system 32 in fact decrypts the digital content 12 only if the user has a valid license 16 for such digital content 12 and the right to play the digital content 12 according to the license rules in the valid license 16. Preferably, once the DRM system 32 has been called by the rendering application 34, such DRM system 32 assumes control from the rendering application 34, at least for purposes of determining whether the user has a right to play such digital content 12 (step 513).

20    DRM System 32 Components

In one embodiment of the present invention, and referring again to Fig. 4, the DRM system 32 includes a license evaluator 36, the black box 30, a license store 38, and a state store 40.

25    DRM System 32 Components - License Evaluator 36

The license evaluator 36 locates one or more licenses 16 that correspond to the requested digital content 12, determines whether such licenses 16 are valid, reviews the license rules in such valid licenses 16, and determines based on the reviewed license rules whether the requesting user has the right to

render the requested digital content 12 in the manner sought, among other things.

As should be understood, the license evaluator 36 is a trusted component in the DRM system 32. In the present disclosure, to be 'trusted' means that the license server 24 (or any other trusting element) is satisfied that the trusted element will

5 carry out the wishes of the owner of the digital content 12 according to the rights description in the license 16, and that a user cannot easily alter such trusted element for any purpose, nefarious or otherwise.

The license evaluator 36 has to be trusted in order to ensure that such license evaluator 36 will in fact evaluate a license 16 properly, and to ensure

10 that such license evaluator 36 has not been adulterated or otherwise modified by a user for the purpose of bypassing actual evaluation of a license 16.

Accordingly, the license evaluator 36 is run in a protected or shrouded environment such that the user is denied access to such license evaluator 36.

15 Other protective measures may of course be employed in connection with the license evaluator 36 without departing from the spirit and scope of the present invention.

#### DRM System 32 Components - Black Box 30

Primarily, and as was discussed above, the black box 30 performs

20 encryption and decryption functions in the DRM system 32. In particular, the black box 30 works in conjunction with the license evaluator 36 to decrypt and encrypt certain information as part of the license evaluation function. In addition, once the license evaluator 36 determines that a user does in fact have the right to render the requested digital content 12 in the manner sought, the black box 30 is

25 provided with a decryption key (KD) for such digital content 12, and performs the function of decrypting such digital content 12 based on such decryption key (KD).

The black box 30 is also a trusted component in the DRM system

32. In particular, the license server 24 must trust that the black box 30 will perform the decryption function only in accordance with the license rules in the

30 license 16, and also trust that such black box 30 will not operate should it become

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adulterated or otherwise modified by a user for the nefarious purpose of bypassing actual evaluation of a license 16. Accordingly, the black box 30 is also run in a protected or shrouded environment such that the user is denied access to such black box 30. Again, other protective measures may be employed in 5 connection with the black box 30 without departing from the spirit and scope of the present invention. Preferably, and like the content server 22 and license server 24, the black box 30 in the DRM system 32 has a unique public / private key pair (PU-BB, PR-BB) that is employed as part of the process of evaluating the license 16 and obtaining a decryption key (KD) for decrypting the digital content 10 12, as will be described in more detail below.

#### DRM System 32 Components - License Store 38

The license store 38 stores licenses 16 received by the DRM system 32 for corresponding digital content 12. The license store 38 itself need not be 15 trusted since the license store 38 merely stores licenses 16, each of which already has trust components built thereinto, as will be described below. In one embodiment of the present invention, the license store 38 is merely a sub-directory of a drive such as a hard disk drive or a network drive. However, the license store 38 may be embodied in any other form without departing from the 20 spirit and scope of the present invention, so long as such license store 38 performs the function of storing licenses 16 in a location relatively convenient to the DRM system 32.

#### DRM System 32 Components - State Store 40

The state store 40 performs the function of maintaining state 25 information corresponding to licenses 16 presently or formerly in the license store 38. Such state information is created by the DRM system 32 and stored in the state store 40 as necessary. For example, if a particular license 16 only allows a pre-determined number of renderings of a piece of corresponding digital content

12, the state store 40 maintains state information on how many renderings have in fact taken place in connection with such license 16. The state store 40 continues to maintain state information on licenses 16 that are no longer in the license store 38 to avoid the situation where it would otherwise be advantageous 5 to delete a license 16 from the license store 38 and then obtain an identical license 16 in an attempt to delete the corresponding state information from the state store 40.

The state store 40 also has to be trusted in order to ensure that the information stored therein is not reset to a state more favorable to a user.

10 Accordingly, the state store 40 is likewise run in a protected or shrouded environment such that the user is denied access to such state store 40. Once again, other protective measures may of course be employed in connection with the state store 40 without departing from the spirit and scope of the present invention. For example, the state store 40 may be stored by the DRM system 32 15 on the computing device 14 in an encrypted form.

#### DRM SYSTEM 32 - Content Rendering, Part 2

Referring again to Fig. 5A, and again discussing content rendering in one embodiment of the present invention, once the DRM system 32 has

20 assumed control from the calling rendering application 34, such DRM system 32 then begins the process of determining whether the user has a right to render the requested digital content 12 in the manner sought. In particular, the DRM system 32 either locates a valid, enabling license 16 in the license store (steps 515, 517) or attempts to acquire a valid, enabling license 16 from the license server 24 (i.e. 25 performs the license acquisition function as discussed below and as shown in Fig. 7).

As a first step, and referring now to Fig. 6, the license evaluator 36 of such DRM system 32 checks the license store 38 for the presence of one or more received licenses 16 that correspond to the digital content 12 (step 601).

30 Typically, the license 16 is in the form of a digital file, as will be discussed below,

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although it will be recognized that the license 16 may also be in other forms without departing from the spirit and scope of the present invention. Typically, the user will receive the digital content 12 without such license 16, although it will likewise be recognized that the digital content 12 may be received with a

5 corresponding license 16 without departing from the spirit and scope of the present invention.

As was discussed above in connection with Fig. 3, each piece of digital content 12 is in a package 12p with a content ID (or package ID) identifying such digital content 12 (or package 12p), and a key ID identifying the decryption key (KD) that will decrypt the encrypted digital content 12. Preferably, the content ID (or package ID) and the key ID are in an un-encrypted form. Accordingly, and in particular, based on the content ID of the digital content 12, the license evaluator 36 looks for any license 16 in the license store 38 that contains an identification of applicability to such content ID. Note that multiple such licenses

10 16 may be found, especially if the owner of the digital content 12 has specified several different kinds of licenses 16 for such digital content 12, and the user has obtained multiple ones of such licenses 16. If in fact the license evaluator 36 does not find in the license store 38 any license 16 corresponding to the

15 requested digital content 12, the DRM system 32 may then perform the function of license acquisition (step 519 of Fig. 5), to be described below.

20

Assume now that the DRM system 32 has been requested to render a piece of digital content 12, and one or more licenses 16 corresponding thereto are present in the license store 38. In one embodiment of the present invention, then, the license evaluator 36 of the DRM system 32 proceeds to determine for

25 each such license 16 whether such license 16 itself is valid (steps 603 and 605 of Fig. 6). Preferably, and in particular, each license 16 includes a digital signature 26 based on the content 28 of the license 16. As should be understood, the digital signature 26 will not match the license 16 if the content 28 has been adulterated or otherwise modified. Thus, the license evaluator 36 can determine

30 based on the digital signature 26 whether the content 28 is in the form that it was

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received from the license server 24 (i.e., is valid). If no valid license 16 is found in the license store 38, the DRM system 32 may then perform the license acquisition function described below to obtain such a valid license 16.

Assuming that one or more valid licenses 16 are found, for each 5 valid license 16, the license evaluator 36 of the DRM system 32 next determines whether such valid license 16 gives the user the right to render the corresponding digital content 12 in the manner desired (i.e., is enabling) (steps 607 and 609). In particular, the license evaluator 36 determines whether the requesting user has the right to play the requested digital content 12 based on the rights description in 10 each license 16 and based on what the user is attempting to do with the digital content 12. For example, such rights description may allow the user to render the digital content 12 into a sound, but not into a decrypted digital copy.

As should be understood, the rights description in each license 16 specifies whether the user has rights to play the digital content 12 based on any 15 of several factors, including who the user is, where the user is located, what type of computing device 14 the user is using, what rendering application 34 is calling the DRM system 32, the date, the time, etc. In addition, the rights description may limit the license 16 to a pre-determined number of plays, or pre-determined play time, for example. In such case, the DRM system 32 must refer to any state 20 information with regard to the license 16, (i.e., how many times the digital content 12 has been rendered, the total amount of time the digital content 12 has been rendered, etc.), where such state information is stored in the state store 40 of the DRM system 32 on the user's computing device 14.

Accordingly, the license evaluator 36 of the DRM system 32 reviews 25 the rights description of each valid license 16 to determine whether such valid license 16 confers the rights sought to the user. In doing so, the license evaluator 36 may have to refer to other data local to the user's computing device 14 to perform a determination of whether the user has the rights sought. As seen in Fig. 4, such data may include an identification 42 of the user's computing device 30 (machine) 14 and particular aspects thereof, an identification 44 of the user and

particular aspects thereof, an identification of the rendering application 34 and particular aspects thereof, a system clock 46, and the like. If no valid license 16 is found that provides the user with the right to render the digital content 12 in the manner sought, the DRM system 32 may then perform the license acquisition 5 function described below to obtain such a license 16, if in fact such a license 16 is obtainable.

Of course, in some instances the user cannot obtain the right to render the digital content 12 in the manner requested, because the content owner of such digital content 12 has in effect directed that such right not be granted. For 10 example, the content owner of such digital content 12 may have directed that no license 16 be granted to allow a user to print a text document, or to copy a multimedia presentation into an un-encrypted form. In one embodiment of the present invention, the digital content 12 includes data on what rights are available upon purchase of a license 16, and types of licenses 16 available. However, it 15 will be recognized that the content owner of a piece of digital content 12 may at any time change the rights currently available for such digital content 12 by changing the licenses 16 available for such digital content 12.

#### DRM SYSTEM 32 - License Acquisition

20 Referring now to Fig. 7, if in fact the license evaluator 36 does not find in the license store 38 any valid, enabling license 16 corresponding to the requested digital content 12, the DRM system 32 may then perform the function of license acquisition. As shown in Fig. 3, each piece of digital content 12 is packaged with information in an un-encrypted form regarding how to obtain a 25 license 16 for rendering such digital content 12 (i.e., license acquisition information).

In one embodiment of the present invention, such license acquisition information may include (among other things) types of licenses 16 available, and one or more Internet web sites or other site information at which one or more 30 appropriate license servers 24 may be accessed, where each such license server

24 is in fact capable of issuing a license 16 corresponding to the digital content 12. Of course, the license 16 may be obtained in other manners without departing from the spirit and scope of the present invention. For example, the license 16 may be obtained from a license server 24 at an electronic bulletin 5 board, or even in person or via regular mail in the form of a file on a magnetic or optical disk or the like.

Assuming that the location for obtaining a license 16 is in fact a license server 24 on a network, the license evaluator 36 then establishes a network connection to such license server 24 based on the web site or other site 10 information, and then sends a request for a license 16 from such connected license server 24 (steps 701, 703). In particular, once the DRM system 32 has contacted the license server 24, such DRM system 32 transmits appropriate license request information 36 to such license server 24. In one embodiment of the present invention, such license 16 request information 36 may include:

- 15                   - the public key of the black box 30 of the DRM system 32 (PU-BB);
  - the version number of the black box 30 of the DRM system 32;
  - a certificate with a digital signature from a certifying authority certifying the black box 30 (where the certificate may in fact include the aforementioned public key and version number of the black box 30);
- 20                   - the content ID (or package ID) that identifies the digital content 12 (or package 12p);
  - the key ID that identifies the decryption key (KD) for decrypting the digital content 12;
  - the type of license 16 requested (if in fact multiple types are available);
    - the type of rendering application 34 that requested rendering of the digital content 12;
- 25                   and/or the like, among other things. Of course, greater or lesser amounts of license 16 request information 36 may be transmitted to the license server 24 by the DRM system 32 without departing from the spirit and scope of

the present invention. For example, information on the type of rendering application 34 may not be necessary, while additional information about the user and/or the user's computing device 14 may be necessary.

Once the license server 24 has received the license 16 request

5 information 36 from the DRM system 32, the license server 24 may then perform several checks for trust / authentication and for other purposes. In one embodiment of the present invention, such license server 24 checks the certificate with the digital signature of the certifying authority to determine whether such has been adulterated or otherwise modified (steps 705, 707). If so, the license server

10 24 refuses to grant any license 16 based on the request information 36. The license server 24 may also maintain a list of known 'bad' users and/or user's computing devices 14, and may refuse to grant any license 16 based on a request from any such bad user and/or bad user's computing device 14 on the list. Such 'bad' list may be compiled in any appropriate manner without departing

15 from the spirit and scope of the present invention.

Based on the received request and the information associated therewith, and particularly based on the content ID (or package ID) in the license request information, the license server 24 can interrogate the content-key database 20 (Fig. 1) and locate a record corresponding to the digital content 12 (or package 12p) that is the basis of the request. As was discussed above, such record contains the decryption key (KD), key ID, and content ID for such digital content 12. In addition, such record may contain license data regarding the types of licenses 16 to be issued for the digital content 12 and the terms and conditions for each type of license 16. Alternatively, such record may include a pointer, link, or reference to a location having such additional information.

As mentioned above, multiple types of licenses 16 may be available. For example, for a relatively small license fee, a license 16 allowing a limited number of renderings may be available. For a relatively greater license fee, a license 16 allowing unlimited renderings until an expiration date may be available. 30 For a still greater license fee, a license 16 allowing unlimited renderings without

any expiration date may be available. Practically any type of license 16 having any kind of license terms may be devised and issued by the license server 24 without departing from the spirit and scope of the present invention.

In one embodiment of the present invention, the request for a

5 license 16 is accomplished with the aid of a web page or the like as transmitted from the license server 24 to the user's computing device 14. Preferably, such web page includes information on all types of licenses 16 available from the license server 24 for the digital content 12 that is the basis of the license 16 request.

10 In one embodiment of the present invention, prior to issuing a license 16, the license server 24 checks the version number of the black box 30 to determine whether such black box 30 is relatively current (steps 709, 711). As should be understood, the black box 30 is intended to be secure and protected from attacks from a user with nefarious purposes (i.e., to improperly render digital

15 content 12 without a license 16, or outside the terms of a corresponding license 16). However, it is to be recognized that no system and no software device is in fact totally secure from such an attack.

As should also be understood, if the black box 30 is relatively current, i.e., has been obtained or updated relatively recently, it is less likely that

20 such black box 30 has been successfully attacked by such a nefarious user. Preferably, and as a matter of trust, if the license server 24 receives a license request with request information 36 including a black box 30 version number that is not relatively current, such license server 24 refuses to issue the requested license 16 until the corresponding black box 30 is upgraded to a current version,

25 as will be described below. Put simply, the license server 24 will not trust such black box 30 unless such black box 30 is relatively current.

In the context of the black box 30 of the present invention, the term 'current' or 'relatively current' may have any appropriate meaning without departing from the spirit and scope of the present invention, consistent with the

30 function of providing trust in the black box 30 based on the age or use thereof.

For example, 'current' may be defined according to age (i.e., less than one month old). As an alternative example, 'current' may be defined based on a number of times that the black box 30 has decrypted digital content 12 (i.e., less than 200 instances of decryption). Moreover, 'current' may be based on policy as set by

5 each license server 24, where one license server 24 may define 'current' differently from another license server 24, and a license server 24 may further define 'current' differently depending on the digital content 12 for which a license 16 is requested, or depending on the type of license 16 requested, among other things.

10 Assuming that the license server 24 is satisfied from the version number of a black box 30 or other indicia thereof that such black box 30 is current, the license server 24 then proceeds to negotiate terms and conditions for the license 16 with the user (step 713). Alternatively, the license server 24 negotiates the license 16 with the user, then satisfies itself from the version 15 number of the black box 30 that such black box 30 is current (i.e., performs step 713, then step 711). Of course, the amount of negotiation varies depending on the type of license 16 to be issued, and other factors. For example, if the license server 24 is merely issuing a paid-up unlimited use license 16, very little need be negotiated. On the other hand, if the license 16 is to be based on such items as 20 varying values, sliding scales, break points, and other details, such items and details may need to be worked out between the license server 24 and the user before the license 16 can be issued.

As should be understood, depending on the circumstances, the license negotiation may require that the user provide further information to the 25 license server 24 (for example, information on the user, the user's computing device 14, etc.). Importantly, the license negotiation may also require that the user and the license server 24 determine a mutually acceptable payment instrument (a credit account, a debit account, a mailed check, etc.) and/or payment method (paid-up immediately, spread over a period of time, etc.), among 30 other things.

Once all the terms of the license 16 have been negotiated and agreed to by both the license server 24 and user (step 715), a digital license 16 is generated by the license server 24 (step 719), where such generated license 16 is based at least in part on the license request, the black box 30 public key (PU-BB), and the decryption key (KD) for the digital content 12 that is the basis of the request as obtained from the content-key database 20. In one embodiment of the present invention, and as seen in Fig. 8, the generated license 16 includes:

5 - the content ID of the digital content 12 to which the license 16 applies;

10 - a Digital Rights License (DRL) 48 (i.e., the rights description or actual terms and conditions of the license 16 written in a predetermined form that the license evaluator 36 can interrogate), perhaps encrypted with the decryption key (KD) (i.e., KD (DRL));

15 - the decryption key (KD) for the digital content 12 encrypted with the black box 30 public key (PU-BB) as received in the license request (i.e., (PU-BB (KD)):

- a digital signature from the license server 24 (without any attached certificate) based on (KD (DRL)) and (PU-BB (KD)) and encrypted with the license server 24 private key (i.e., (S (PR-LS))); and

20 - the certificate that the license server 24 obtained previously from the content server 22, such certificate indicating that the license server 24 has the authority from the content server 22 to issue the license 16 (i.e., (CERT (PU-LS) S (PR-CS))).

As should be understood, the aforementioned elements and perhaps others are packaged into a digital file or some other appropriate form. As should also be understood, if the DRL 48 or (PU-BB (KD)) in the license 16 should become adulterated or otherwise modified, the digital signature (S (PR-LS)) in the license 16 will not match and therefore will not validate such license 16. For this reason, the DRL 48 need not necessarily be in an encrypted form (i.e., (KD(DRL)) as mentioned above), although such encrypted form may in

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some instances be desirable and therefore may be employed without departing from the spirit and scope of the present invention.

Once the digital license 16 has been prepared, such license 16 is then issued to the requestor (i.e., the DRM system 32 on the user's computing device 14) (step 719 of Fig. 7). Preferably, the license 16 is transmitted over the same path through which the request therefor was made (i.e., the Internet or another network), although another path may be employed without departing from the spirit and scope of the present invention. Upon receipt, the requesting DRM system 32 preferably automatically places the received digital license 16 in the license store 38 (step 721).

It is to be understood that a user's computing device 14 may on occasion malfunction, and licenses 16 stored in the license store 38 of the DRM system 32 on such user's computing device 14 may become irretrievably lost.

Accordingly, it is preferable that the license server 24 maintain a database 50 of issued licenses 16 (Fig. 1), and that such license server 24 provide a user with a copy or re-issue (hereinafter 're-issue') of an issued license 16 if the user is in fact entitled to such re-issue. In the aforementioned case where licenses 16 are irretrievably lost, it is also likely the case that state information stored in the state store 40 and corresponding to such licenses 16 is also lost. Such lost state information should be taken into account when re-issuing a license 16. For example, a fixed number of renderings license 16 might legitimately be re-issued in a pro-rated form after a relatively short period of time, and not re-issued at all after a relatively longer period of time.

## 25 DRM SYSTEM 32 - Installation/Upgrade of Black Box 30

As was discussed above, as part of the function of acquiring a license 16, the license server 24 may deny a request for a license 16 from a user if the user's computing device 14 has a DRM system 32 with a black box 30 that is not relatively current, i.e., has a relatively old version number. In such case, it is preferable that the black box 30 of such DRM system 32 be upgraded so that

the license acquisition function can then proceed. Of course, the black box 30 may be upgraded at other times without departing from the spirit and scope of the present invention.

Preferably, as part of the process of installing the DRM system 32

5 on a user's computing device 14, a non-unique 'lite' version of a black box 30 is provided. Such 'lite' black box 30 is then upgraded to a unique regular version prior to rendering a piece of digital content 12. As should be understood, if each black box 30 in each DRM system 32 is unique, a security breach into one black box 30 cannot easily be replicated with any other black box 30.

10 Referring now to Fig. 9, the DRM system 32 obtains the unique black box 30 by requesting same from a black box server 26 or the like (as was discussed above and as shown in Fig. 1) (step 901). Typically, such request is made by way of the Internet, although other means of access may be employed without departing from the spirit and scope of the present invention. For example,

15 the connection to a black box server 26 may be a direct connection, either locally or remotely. An upgrade from one unique non-lite black box 30 to another unique non-lite black box 30 may also be requested by the DRM system 32 at any time, such as for example a time when a license server 24 deems the black box 30 not current, as was discussed above.

20 Thereafter, the black box server 26 generates a new unique black box 30 (step 903). As seen in Fig. 3, each new black box 30 is provided with a version number and a certificate with a digital signature from a certifying authority. As was discussed above in connection with the license acquisition function, the version number of the black box 30 indicates the relative age and/or use thereof.

25 The certificate with the digital signature from the certifying authority, also discussed above in connection with the license acquisition function, is a proffer or vouching mechanism from the certifying authority that a license server 24 should trust the black box 30. Of course, the license server 24 must trust the certifying authority to issue such a certificate for a black box 30 that is in fact trustworthy. It

30 may be the case, in fact, that the license server 24 does not trust a particular

certifying authority, and refuses to honor any certificate issued by such certifying authority. Trust may not occur, for example, if a particular certifying authority is found to be engaging in a pattern of improperly issuing certificates.

Preferably, and as was discussed above, the black box server 26

5 includes a new unique public / private key pair (PU-BB, PR-BB) with the newly generated unique black box 30 (step 903 of Fig. 9). Preferably, the private key for the black box 30 (PR-BB) is accessible only to such black box 30, and is hidden from and inaccessible by the remainder of the world, including the computing device 14 having the DRM system 32 with such black box 30, and the user

10 thereof.

Most any hiding scheme may be employed without departing from the spirit and scope of the present invention, so long as such hiding scheme in fact performs the function of hiding the private key (PR-BB) from the world. As but one example, the private key (PR-BB) may be split into several sub-

15 components, and each sub-component may be encrypted uniquely and stored in a different location. In such a situation, it is preferable that such sub-components are never assembled in full to produce the entire private key (PR-BB).

In one embodiment of the present invention, such private key (PR-BB) is encrypted according to code-based encryption techniques. In particular, in

20 such embodiment, the actual software code of the black box 30 (or other software code) is employed as encrypting key(s). Accordingly, if the code of the black box 30 (or the other software code) becomes adulterated or otherwise modified, for example by a user with nefarious purposes, such private key (PR-BB) cannot be decrypted.

25 Although each new black box 30 is delivered with a new public / private key pair (PU-BB, PR-BB), such new black box 30 is also preferably given access to old public / private key pairs from old black boxes 30 previously delivered to the DRM system 32 on the user's computing device 14 (step 905). Accordingly, the upgraded black box 30 can still employ the old key pairs to

30 access older digital content 12 and older corresponding licenses 16 that were

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generated according to such old key pairs, as will be discussed in more detail below.

Preferably, the upgraded black box 30 delivered by the black box server 26 is tightly tied to or associated with the user's computing device 14.

- 5 Accordingly, the upgraded black box 30 cannot be operably transferred among multiple computing devices 14 for nefarious purposes or otherwise. In one embodiment of the present invention, as part of the request for the black box 30 (step 901) the DRM system 32 provides hardware information unique to such DRM system 32 and/or unique to the user's computing device 14 to the black box
- 10 server 26, and the black box server 26 generates a black box 30 for the DRM system 32 based in part on such provided hardware information. Such generated upgraded black box 30 is then delivered to and installed in the DRM system 32 on the user's computing device 14 (steps 907, 909). If the upgraded black box 30 is then somehow transferred to another computing device 14, the transferred black
- 15 box 30 recognizes that it is not intended for such other computing device 14, and does not allow any requested rendering to proceed on such other computing device 14.

Once the new black box 30 is installed in the DRM system 32, such DRM system 32 can proceed with a license acquisition function or with any other function.

#### DRM SYSTEM 32 - Content Rendering, Part 3

Referring now to Fig. 5B, and assuming, now, that the license evaluator 36 has found at least one valid license 16 and that at least one of such valid licenses 16 provides the user with the rights necessary to render the corresponding digital content 12 in the manner sought (i.e., is enabling), the license evaluator 36 then selects one of such licenses 16 for further use (step 519). Specifically, to render the requested digital content 12, the license evaluator 36 and the black box 30 in combination obtain the decryption key (KD) from such license 16, and the black box 30 employs such decryption key (KD) to

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decrypt the digital content 12. In one embodiment of the present invention, and as was discussed above, the decryption key (KD) as obtained from the license 16 is encrypted with the black box 30 public key (PU-BB(KD)), and the black box 30 decrypts such encrypted decryption key with its private key (PR-BB) to produce 5 the decryption key (KD) (steps 521, 523). However, other methods of obtaining the decryption key (KD) for the digital content 12 may be employed without departing from the spirit and scope of the present invention.

Once the black box 30 has the decryption key (KD) for the digital content 12 and permission from the license evaluator 36 to render the digital 10 content 12, control may be returned to the rendering application 34 (steps 525, 527). In one embodiment of the present invention, the rendering application 34 then calls the DRM system 32 / black box 30 and directs at least a portion of the encrypted digital content 12 to the black box 30 for decryption according to the decryption key (KD) (step 529). The black box 30 decrypts the digital content 12 15 based upon the decryption key (KD) for the digital content 12, and then the black box 30 returns the decrypted digital content 12 to the rendering application 34 for actual rendering (steps 533, 535). The rendering application 34 may either send a portion of the encrypted digital content 12 or the entire digital content 12 to the black box 30 for decryption based on the decryption key (KD) for such digital 20 content 12 without departing from the spirit and scope of the present invention.

Preferably, when the rendering application 34 sends digital content 12 to the black box 30 for decryption, the black box 30 and/or the DRM system 32 authenticates such rendering application 34 to ensure that it is in fact the same rendering application 34 that initially requested the DRM system 32 to run (step 25 531). Otherwise, the potential exists that rendering approval may be obtained improperly by basing the rendering request on one type of rendering application 34 and in fact rendering with another type of rendering application 34. Assuming the authentication is successful and the digital content 12 is decrypted by the black box 30, the rendering application 34 may then render the decrypted digital 30 content 12 (steps 533, 535).

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### Sequence of Key Transactions

Referring now to Fig. 10, in one embodiment of the present invention, a sequence of key transactions is performed to obtain the decryption key (KD) and evaluate a license 16 for a requested piece of digital content 12 (i.e., to perform steps 515-523 of Figs. 5A and 5B). Mainly, in such sequence, the DRM system 32 obtains the decryption key (KD) from the license 16, uses information obtained from the license 16 and the digital content 12 to authenticate or ensure the validity of both, and then determines whether the license 16 in fact provides the right to render the digital content 12 in the manner sought. If so, the digital content 12 may be rendered.

Bearing in mind that each license 16 for the digital content 12, as seen in Fig. 8, includes:

- the content ID of the digital content 12 to which the license 16 applies;
- the Digital Rights License (DRL) 48, perhaps encrypted with the decryption key (KD) (i.e., KD (DRL));
- the decryption key (KD) for the digital content 12 encrypted with the black box 30 public key (PU-BB) (i.e., (PU-BB (KD)));
- the digital signature from the license server 24 based on (KD (DRL)) and (PU-BB (KD)) and encrypted with the license server 24 private key (i.e., (S (PR-LS))); and
- the certificate that the license server 24 obtained previously from the content server 22 (i.e., (CERT (PU-LS) S (PR-CS))),

and also bearing in mind that the package 12p having the digital content 12, as seen in Fig. 3, includes:

- the content ID of such digital content 12;
- the digital content 12 encrypted by KD (i.e., (KD(CONTENT)));
- a license acquisition script that is not encrypted; and

- the key KD encrypting the content server 22 public key (PU-CS), signed by the content server 22 private key (PR-CS) (i.e., (KD (PU-CS) S (PR-CS))),

in one embodiment of the present invention, the specific sequence 5 of key transactions that are performed with regard to a specific one of the licenses 16 for the digital content 12 is as follows:

1. Based on (PU-BB (KD)) from the license 16, the black box 30 of the DRM system 32 on the user's computing device 14 applies its private key (PR-BB) to obtain (KD) (step 1001). (PR-BB (PU-BB (KD)) = (KD)). Note, 10 importantly, that the black box 30 could then proceed to employ KD to decrypt the digital content 12 without any further ado. However, and also importantly, the license server 24 trusts the black box 30 not to do so. Such trust was established at the time such license server 24 issued the license 16 based on the certificate from the certifying authority vouching for the trustworthiness of such black box 30.
- 15 Accordingly, despite the black box 30 obtaining the decryption key (KD) as an initial step rather than a final step, the DRM system 32 continues to perform all license 16 validation and evaluation functions, as described below.

2. Based on (KD (PU-CS) S (PR-CS)) from the digital content 12, the black box 30 applies the newly obtained decryption key (KD) to obtain 20 (PU-CS) (step 1003). (KD (KD (PU-CS)) = (PU-CS)). Additionally, the black box 30 can apply (PU-CS) as against the signature (S (PR-CS)) to satisfy itself that such signature and such digital content 12 / package 12p is valid (step 1005). If not valid, the process is halted and access to the digital content 12 is denied.

3. Based on (CERT (PU-LS) S (PR-CS)) from the license 16, 25 the black box 30 applies the newly obtained content server 22 public key (PU-CS) to satisfy itself that the certificate is valid (step 1007), signifying that the license server 24 that issued the license 16 had the authority from the content server 22 to do so, and then examines the certificate contents to obtain (PU-LS) (step 1009). If not valid, the process is halted and access to the digital content 12 30 based on the license 16 is denied.

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4. Based on (S (PR-LS)) from the license 16, the black box 30 applies the newly obtained license server 24 public key (PU-LS) to satisfy itself that the license 16 is valid (step 1011). If not valid, the process is halted and access to the digital content 12 based on the license 16 is denied.

5. Assuming all validation steps are successful, and that the DRL 48 in the license 16 is in fact encrypted with the decryption key (KD), the license evaluator 36 then applies the already-obtained decryption key (KD) to (KD(DRL)) as obtained from the license 16 to obtain the license terms from the license 16 (i.e., the DRL 48) (step 1013). Of course, if the DRL 48 in the license 10 16 is not in fact encrypted with the decryption key (KD), step 1013 may be omitted. The license evaluator 36 then evaluates / interrogates the DRL 48 and determines whether the user's computing device 14 has the right based on the DRL 48 in the license 16 to render the corresponding digital content 12 in the manner sought (i.e., whether the DRL 48 is enabling) (step 1015). If the license 15 evaluator 36 determines that such right does not exist, the process is halted and access to the digital content 12 based on the license 16 is denied.

6. Finally, assuming evaluation of the license 16 results in a positive determination that the user's computing device 14 has the right based on the DRL 48 terms to render the corresponding digital content 12 in the manner sought, the license evaluator 36 informs the black box 30 that such black box 30 can render the corresponding digital content 12 according to the decryption key (KD). The black box 30 thereafter applies the decryption key (KD) to decrypt the digital content 12 from the package 12p (i.e., (KD(KD(CONTENT)) = (CONTENT)) (step 1017).

25 It is important to note that the above-specified series of steps represents an alternating or 'ping-ponging' between the license 16 and the digital content 12. Such ping-ponging ensures that the digital content 12 is tightly bound to the license 16, in that the validation and evaluation process can only occur if both the digital content 12 and license 16 are present in a properly issued and 30 valid form. In addition, since the same decryption key (KD) is needed to get the

content server 22 public key (PU-CS) from the license 16 and the digital content 12 from the package 12p in a decrypted form (and perhaps the license terms (DRL 48) from the license 16 in a decrypted form), such items are also tightly bound. Signature validation also ensures that the digital content 12 and the

5 license 16 are in the same form as issued from the content server 22 and the license server 24, respectively. Accordingly, it is difficult if not impossible to decrypt the digital content 12 by bypassing the license server 24, and also difficult if not impossible to alter and then decrypt the digital content 12 or the license 16.

In one embodiment of the present invention, signature verification, 10 and especially signature verification of the license 16, is alternately performed as follows. Rather than having a signature encrypted by the private key of the license server 16 (PR-LS), as is seen in Fig. 8, each license 16 has a signature encrypted by a private root key (PR-R) (not shown), where the black box 30 of each DRM system 32 includes a public root key (PU-R) (also not shown) 15 corresponding to the private root key (PR-R). The private root key (PR-R) is known only to a root entity, and a license server 24 can only issue licenses 16 if such license server 24 has arranged with the root entity to issue licenses 16.

In particular, in such embodiment:

20 1. the license server 24 provides its public key (PU-LS) to the  
root entity;

2. the root entity returns the license server public key (PU-LS) to  
such license server 24 encrypted with the private root key (PR-R) (i.e., (CERT  
(PU-LS) S (PR-R))); and

25 3. the license server 24 then issues a license 16 with a  
signature encrypted with the license server private key (S (PR-LS)), and also  
attaches to the license the certificate from the root entity (CERT (PU-LS) S (PR-  
R)).

For a DRM system 18 to validate such issued license 16, then, the DRM system 18:

1. applies the public root key (PU-R) to the attached certificate (CERT (PU-LS) S (PR-R)) to obtain the license server public key (PU-LS); and
2. applies the obtained license server public key (PU-LS) to the signature of the license 16 (S (PR-LS)).

10 As should now be evident, a license 16 issued by the second license server would include a first certificate (CERT (PU-LS1) S (PR-R)) and a second certificate (CERT (PU-LS2) S (PR-LS1)). Likewise, such license 16 is validated by following the chain through the first and second certificates. Of course, additional links in the chain may be added and traversed.

15 One advantage of the aforementioned signature verification process is that the root entity may periodically change the private root key (PR-R), thereby likewise periodically requiring each license server 24 to obtain a new certificate (CERT (PU-LS) S (PR-R)). Importantly, as a requirement for obtaining such new certificate, each license server may be required to upgrade itself. As with the  
20 black box 30, if a license server 24 is relatively current, i.e., has been upgraded relatively recently, it is less likely that license server 24 has been successfully attacked. Accordingly, as a matter of trust, each license server 24 is preferably required to be upgraded periodically via an appropriate upgrade trigger mechanism such as the signature verification process. Of course, other upgrade  
25 mechanisms may be employed without departing from the spirit and scope of the present invention.

Of course, if the private root key (PR-R) is changed, then the public root key (PU-R) in each DRM system 18 must also be changed. Such change may for example take place during a normal black box 30 upgrade, or in fact may 30 require that a black box 30 upgrade take place. Although a changed public root

key (PU-R) may potentially interfere with signature validation for an older license 16 issued based on an older private root key (PR-R), such interference may be minimized by requiring that an upgraded black box 30 remember all old public root keys (PU-R). Alternatively, such interference may be minimized by requiring

5 signature verification for a license 16 only once, for example the first time such license 16 is evaluated by the license evaluator 36 of a DRM system 18. In such case, state information on whether signature verification has taken place should be compiled, and such state information should be stored in the state store 40 of the DRM system 18.

10

#### Digital Rights License 48

In the present invention, the license evaluator 36 evaluates a Digital Rights License (DRL) 48 as the rights description or terms of a license 16 to determine if such DRL 48 allows rendering of a corresponding piece of digital 15 content 12 in the manner sought. In one embodiment of the present invention, the DRL 48 may be written by a licensor (i.e., the content owner) in any DRL language.

As should be understood, there are a multitude of ways to specify a DRL 48. Accordingly, a high degree of flexibility must be allowed for in any DRL 20 language. However, it is impractical to specify all aspects of a DRL 48 in a particular license language, and it is highly unlikely that the author of such a language can appreciate all possible licensing aspects that a particular digital licensor may desire. Moreover, a highly sophisticated license language may be unnecessary and even a hindrance for a licensor providing a relatively simple 25 DRL 48. Nevertheless, a licensor should not be unnecessarily restricted in how to specify a DRL 48. At the same time, the license evaluator 36 should always be able to get answers from a DRL 48 regarding a number of specific license questions.

In the present invention, and referring now to Fig. 11, a DRL 48 can 30 be specified in any license language, but includes a language identifier or tag 54.

The license evaluator 36 evaluating the license 16, then, performs the preliminary step of reviewing the language tag 54 to identify such language, and then selects an appropriate license language engine 52 for accessing the license 16 in such identified language. As should be understood, such license language engine 52

5 must be present and accessible to the license evaluator 36. If not present, the language tag 54 and/or the DRL 48 preferably includes a location 56 (typically a web site) for obtaining such language engine 52.

Typically, the language engine 52 is in the form of an executable file or set of files that reside in a memory of the user's computing device 14, such as

10 a hard drive. The language engine 52 assists the license evaluator 36 to directly interrogate the DRL 48, the license evaluator 36 interrogates the DRL 48 indirectly via the language engine 48 acting as an intermediary, or the like. When executed, the language engine 52 runs in a work space in a memory of the user's computing device 14, such as RAM. However, any other form of language engine  
15 52 may be employed without departing from the spirit and scope of the present invention.

Preferably, any language engine 52 and any DRL language supports at least a number of specific license questions that the license evaluator 36 expects to be answered by any DRL 48, as will be discussed below.

20 Accordingly, the license evaluator 36 is not tied to any particular DRL language; a DRL 48 may be written in any appropriate DRL language; and a DRL 48 specified in a new license language can be employed by an existing license evaluator 36 by having such license evaluator 36 obtain a corresponding new language engine 52.

25

#### DRL Languages

Two examples of DRL languages, as embodied in respective DRLs 48, are provided below. The first, 'simple' DRL 48 is written in a DRL language that specifies license attributes, while the second 'script' DRL 48 is written in a

30 DRL language that can perform functions according to the script specified in the

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DRL 48. While written in a DRL language, the meaning of each line of code should be apparent based on the linguistics thereof and/or on the attribute description chart that follows:

## 5 Simple DRL 48:

<LICENSE>

<DATA>

<NAME>Beastie Boy's Play</NAME>

<ID>39384</ID>

10 <DESCRIPTION>Play the song 3 times</DESCRIPTION>

<TERMS></TERMS>

**<VALIDITY>**

<NOTBEFORE>19980102 23:20:14Z</NOTBEFORE>

<NOTAFTER>19980102 23:20:14Z</NOTAFTER>

15 </VALIDITY>

<ISSUEDDATE>19980102 23:20:14Z</ISSUEDDATE>

<LICENSORSITE><http://www.foo.com></LICENSORSITE>

## <CONTENT>

<NAME>Beastie Boy's</NAME>

20 <ID>392</ID>

<KEYID>39292</KEYID>

<TYPE>MS Encrypted ASF 2.0</TYPE>

</CONTENT>

<OWNER>

25 <ID>939KDKD393KD</ID>

<NAME>Universal</NAME>

<PUBLICKEY></PUBLICKEY>

</OWNER>

<LICENSEE>

30 <NAME>Arnold</NAME>

<ID>939KDKD393KD</ID>  
<PUBLICKEY></PUBLICKEY>  
</LICENSEE>  
<PRINCIPAL TYPE==AND=>  
5 <PRINCIPAL TYPE==OR=>  
<PRINCIPAL>  
<TYPE>x86Computer</TYPE>  
<ID>3939292939d9e939</ID>  
<NAME>Personal Computer</NAME>  
10 <AUTHTYPE>Intel Authenticated Boot PC SHA-1 DSA512</AUTHTYPE>  
<AUTHDATA>29293939</AUTHDATA>  
</PRINCIPAL>  
<PRINCIPAL>  
<TYPE>Application</TYPE>  
15 <ID>2939495939292</ID>  
<NAME>Window=s Media Player</NAME>  
<AUTHTYPE>Authenticode SHA-1</AUTHTYPE>  
<AUTHDATA>93939</AUTHDATA>  
</PRINCIPAL>  
20 </PRINCIPAL>  
<PRINCIPAL>  
<TYPE>Person</TYPE>  
<ID>39299482010</ID>  
<NAME>Arnold Blinn</NAME>  
25 <AUTHTYPE>Authenticate user</AUTHTYPE>  
<AUTHDATA>\\redmond\\arnoldb</AUTHDATA>  
</PRINCIPAL>  
</PRINCIPAL>  
<DRLTYPE>Simple</DRLTYPE>[the language tag 54]  
30 <DRLDATA>

<START>19980102 23:20:14Z</START>  
<END>19980102 23:20:14Z</END>  
<COUNT>3</COUNT>  
<ACTION>PLAY</ACTION>

5 </DRLDATA>  
<ENABLINGBITS>aaaabbbbccccdddd</ENABLINGBITS>  
</DATA>  
<SIGNATURE>  
<SIGNERNAME>Universal</SIGNERNAME>

10 <SIGNERID>9382ABK3939DKD</SIGNERID>  
<HASHALGORITHMID>MD5</HASHALGORITHMID>  
<SIGNALGORITHMID>RSA 128</SIGNALGORITHMID>  
<SIGNATURE>xxxxxxxxxxxxxx</SIGNATURE>  
<SIGNERPUBLICKEY></SIGNERPUBLICKEY>

15 <CONTENTSIGNEDSIGNERPUBLICKEY></CONTENTSIGNEDSIGNERPUBLIC  
KEY>  
</SIGNATURE>  
</LICENSE>

20 Script DRL 48:  
<LICENSE>  
<DATA>  
<NAME>Beastie Boy's Play</NAME>  
<ID>39384</ID>

25 <DESCRIPTION>Play the song unlimited</DESCRIPTION>  
<TERMS></TERMS>  
<VALIDITY>  
<NOTBEFORE>19980102 23:20:14Z</NOTBEFORE>  
<NOTAFTER>19980102 23:20:14Z</NOTAFTER>

30 </VALIDITY>

<ISSUEDATE>19980102 23:20:14Z</ISSUEDATE>  
<LICENSORSITE>http://www.foo.com</LICENSORSITE>  
<CONTENT>  
  <NAME>Beastie Boy's</NAME>  
5   <ID>392</ID>  
  <KEYID>39292</KEYID>  
  <TYPE>MS Encrypted ASF 2.0</TYPE>  
  </CONTENT>  
  <OWNER>  
10  <ID>939KDKD393KD</ID>  
  <NAME>Universal</NAME>  
  <PUBLICKEY></PUBLICKEY>  
  </OWNER>  
  <LICENSEE>  
15  <NAME>Arnold</NAME>  
  <ID>939KDKD393KD</ID>  
  <PUBLICKEY></PUBLICKEY>  
  </LICENSEE>  
  <DRLTYPE>Script</DRLTYPE>[the language tag 54]  
20  <DRLDATA>  
    function on\_enable(action, args) as boolean  
    result = False  
    if action = "PLAY" then  
      result = True  
25  end if  
    on\_action = False  
  end function  
  ...  
  </DRLDATA>  
30  </DATA>

```

<SIGNATURE>
<SIGNERNAME>Universal</SIGNERNAME>
<SIGNERID>9382</SIGNERID>
<SIGNERPUBLICKEY></SIGNERPUBLICKEY>
5 <HASHID>MD5</HASHID>
<SIGNID>RSA 128</SIGNID>
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<CONTENTSIGNEDSIGNERPUBLICKEY></CONTENTSIGNEDSIGNERPUBLIC
KEY>
10 </SIGNATURE>
</LICENSE>

```

In the two DRLs 48 specified above, the attributes listed have the following descriptions and data types:

Attribute	Description	Data Type
Id	ID of the license	GUID
Name	Name of the license	String
Content Id	ID of the content	GUID
Content Key Id	ID for the encryption key of the content	GUID
Content Name	Name of the content	String
Content Type	Type of the content	String
Owner Id	ID of the owner of the content	GUID
Owner Name	Name of the owner of the content	String
Owner Public Key	Public key for owner of content. This is a base-64 encoded public key for the owner of the content.	String
Licensee Id	Id of the person getting license. It may be null.	GUID
Licensee Name	Name of the person getting license. It may be null.	String
Licensee Public Key	Public key of the licensee. This is the base-64 encoded public	String

Description	key of the licensee. It may be null.	String
Terms	Simple human readable description of the license	String
Validity Not After	Legal terms of the license. This may be a pointer to a web page containing legal prose.	String
Validity Not Before	Validity period of license expiration	Date
Issued Date	Validity period of license start	Date
DRL Type	Date the license was issued	Date
DRL Data	Type of the DRL. Example include ASIMPLE@ or ASCRIPT@	String
Enabling Bits	Data specific to the DRL	String
	These are the bits that enable access to the actual content. The interpretation of these bits is up to the application, but typically this will be the private key for decryption of the content. This data will be base-64 encoded. Note that these bits are encrypted using the public key of the individual machine.	String
Signer Id	ID of person signing license	GUID
Signer Name	Name of person signing license	String
Signer Public Key	Public key for person signing license. This is the base-64 encode public key for the signer.	String
Content Signed Signer Public Key	Public key for person signing the license that has been signed by the content server private	String

key. The public key to verify this signature will be encrypted in the content. This is base-64 encoded.

Hash Alg Id	Algorithm used to generate hash. This is a string, such as AMD5@.	String
Signature Alg Id	Algorithm used to generate signature. This is a string, such as ARSA 128@.	String
Signature	Signature of the data. This is base-64 encoded data.	String

#### Methods

As was discussed above, it is preferable that any language engine 52 and any DRL language support at least a number of specific license questions 5 that the digital license evaluator 36 expects to be answered by any DRL 48. Recognizing such supported questions may include any questions without departing from the spirit and scope of the present invention, and consistent with the terminology employed in the two DRL 48 examples above, in one embodiment 10 of the present invention, such supported questions or 'methods' include 'access methods', 'DRL methods', and 'enabling use methods', as follows:

#### Access Methods

Access methods are used to query a DRL 48 for top-level attributes.

15 VARIANT QueryAttribute (BSTR key)

Valid keys include License.Name, License.Id, Content.Name, Content.Id, Content.Type, Owner.Name, Owner.Id, Owner.PublicKey, Licensee.Name, Licensee.Id, Licensee.PublicKey, Description, and Terms, each

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returning a BSTR variant; and Issued, Validity.Start and Validity.End, each returning a Date Variant.

#### DRL Methods

5            The implementation of the following DRL methods varies from DRL 48 to DRL 48. Many of the DRL methods contain a variant parameter labeled 'data' which is intended for communicating more advanced information with a DRL 48. It is present largely for future expandability.

10            Boolean IsActivated(Variant data)

This method returns a Boolean indicating whether the DRL 48 / license 16 is activated. An example of an activated license 16 is a limited operation license 16 that upon first play is active for only 48 hours.

15            Activate(Variant data)

This method is used to activate a license 16. Once a license 16 is activated, it cannot be deactivated.

20            Variant QueryDRL(Variant data)

This method is used to communicate with a more advanced DRL 48. It is largely about future expandability of the DRL 48 feature set.

25            Variant GetExpires(BSTR action, Variant data)

This method returns the expiration date of a license 16 with regard to the passed-in action. If the return value is NULL, the license 16 is assumed to

never expire or does not yet have an expiration date because it hasn't been activated, or the like.

Variant GetCount(BSTR action, Variant data)

5

This method returns the number of operations of the passed-in action that are left. If NULL is returned, the operation can be performed an unlimited number of times.

10

Boolean IsEnabled(BSTR action, Variant data)

This method indicates whether the license 16 supports the requested action at the present time.

Boolean IsSunk(BSTR action, Variant data)

15

This method indicates whether the license 16 has been paid for. A license 16 that is paid for up front would return TRUE, while a license 16 that is not paid for up front, such as a license 16 that collects payments as it is used, would return FALSE.

20

Enabling Use Methods.

These methods are employed to enable a license 16 for use in decrypting content.

25

Boolean Validate (BSTR key)

This method is used to validate a license 16. The passed-in key is the black box 30 public key (PU-BB) encrypted by the decryption key (KD) for the corresponding digital content 12 (i.e., (KD(PU-BB))) for use in validation of the

signature of the license 16. A return value of TRUE indicates that the license 16 is valid. A return value of FALSE indicates invalid.

int OpenLicense 16(BSTR action, BSTR key, Variant data)

5

This method is used to get ready to access the decrypted enabling bits. The passed-in key is ( KD(PU-BB)) as described above. A return value of 0 indicates success. Other return values can be defined.

10

BSTR GetDecryptedEnablingBits (BSTR action, Variant data)

Variant GetDecryptedEnablingBitsAsBinary (BSTR action, Variant Data)

15

These methods are used to access the enabling bits in decrypted form. If this is not successful for any of a number of reasons, a null string or null variant is returned.

void CloseLicense 16 (BSTR action, Variant data)

This method is used to unlock access to the enabling bits for performing the passed-in action. If this is not successful for any of a number of reasons, a null string is returned.

#### Heuristics

As was discussed above, if multiple licenses 16 are present for the same piece of digital content 12, one of the licenses 16 must be chosen for further use. Using the above methods, the following heuristics could be implemented to make such choice. In particular, to perform an action (say APLAY@) on a piece of digital content 12, the following steps could be performed:

30

1. Get all licenses 16 that apply to the particular piece of digital content 12.
2. Eliminate each license 16 that does not enable the action by calling the IsEnabled function on such license 16.
- 5 3. Eliminate each license 16 that is not active by calling IsActivated on such license 16.
4. Eliminate each license 16 that is not paid for up front by calling IsSunk on such license 16.
5. If any license 16 is left, use it. Use an unlimited-number-of-  
10 plays license 16 before using a limited-number-of-plays license 16, especially if the unlimited-number-of-plays license 16 has an expiration date. At any time, the user should be allowed to select a specific license 16 that has already been acquired, even if the choice is not cost-effective. Accordingly, the user can select a license 16 based on criteria that are perhaps not apparent to the DRM system
- 15 32.
  6. If there are no licenses 16 left, return status so indicating. The user would then be given the option of:
    - using a license 16 that is not paid for up front, if available;
    - activating a license 16, if available; and/or
    - 20 performing license acquisition from a license server 24.

#### RENDERING DIGITAL CONTENT ON A PORTABLE DEVICE OR THE LIKE

Referring now to Fig. 13, it is to be appreciated that in one mode of obtaining and rendering digital content 12, such digital content 12 is downloaded or otherwise placed on a personal computer 60 or the like, and the corresponding digital license 16 once obtained is also is downloaded or otherwise placed on the personal computer 60 or the like. Typically, the personal computer 60 or the like is a relatively large and not easily movable device, and requires a power line connection for receiving externally supplied power, a communications connection 25 for communicating with the world. In addition, and as was discussed in

connection with Fig. 12, the computer 60 may include a cable-connected keyboard, a cable-connected mouse, a cable-connected screen, and the like, and may be coupled to a scanner, a printer, and/or other peripheral devices. Thus, the computer 60 is relatively tied down or 'tethered' by such connections and 5 peripherals.

Of course, the personal computer 60 of Fig. 13 becomes untethered by, for example, disconnecting the peripherals, and becomes more untethered by embodying the computer 60 in the form of a full-function lap-top computer having the screen, keyboard, mouse, and a battery power supply built in. Nevertheless, a user wishing to render digital content 12 such as for example a musical selection while for example exercising would certainly balk at carrying such a lap-top computer 60 during such activity, even if such lap-top computer 60 weighs only four or five pounds. Moreover, even if such full-function lap-top computer 60 was made to be light-weight, perhaps on the order of two to four ounces, the computer 60 still would contain sensitive components (the hard drive, e.g.) that are likely to be damaged during such activity.

Fortunately, and again referring to Fig. 13, un-tethered, light-weight, truly portable computing devices 62 exist and can be employed to store and render at least some forms of digital content 12. For example, the DIAMOND RIO 20 portable music player, marketed by S3 Incorporated of Santa Clara, California is battery-operated, fits in a palm or pocket, has no moving parts, includes large amounts of onboard flash memory to hold digital content 12, and presently can render the digital content 12 if in the Windows Media Audio (WMA) or the MP3 formats. Such DIAMOND RIO player also is supplied with an appropriate cable 25 and has an interface through which the digital content 12 may be downloaded from the computer 60 by way of the cable. Other portable music players include the RCA Lyra, Creative Nomad II, and Pocket PC devices from Hewlett-Packard, Casio and Compaq. However, and importantly, the DIAMOND RIO player and certain other similar players do not presently support the DRM architecture as set

forth above. Moreover, configuring such players to support the DRM architecture is problematic in several regards.

In particular, certain players have relatively small amounts of memory set aside for player operations such as decrypting content, rendering content, and the like. In fact, the amount of such memory set aside for player operations may be as little as 37.5 kilobytes. As may be appreciated, such a relatively small amount of memory does not allow a player to perform at least some DRM functions as were discussed above.

One DRM function in particular that cannot readily be performed in such a small amount of space is public-private key decryption. That is, such public-private key encryption requires a relatively large amount of memory, and as should be appreciated, such relatively large amount of memory is larger than that available from the player operations memory of a typical portable player.

Another DRM function that normally cannot readily be performed in such a small amount of space is direct downloading of digital content and a corresponding license from a source such as the Internet or the like. That is, the memory available in a typical portable player is not enough to support the functions necessary to interact with a license server 24 and a content server 22 over the Internet. Moreover, and as a practical matter, a typical player does not have the input devices (mouse, keyboard, etc.), output devices (screen, etc.), or processing power necessary to interact over the Internet.

To overcome the aforementioned limitations due to the amount of memory available, and to otherwise facilitate extending the DRM architecture to portable computing devices 62, in one embodiment of the present invention, all 25 obtaining of digital content 12 and corresponding digital licenses 16 for a portable device 62 is performed by way of a computer 60 or the like. Moreover, in one embodiment of the present invention, the computer 60 obtains a license 16 for corresponding digital content 16 and then issues a sub-license 16s to the portable device 62 for rendering the digital content 16 on the portable device 62. Such 30 sub-license 16s may be issued in the course of downloading the digital content 12

from the computer 60 to the portable device 62, or may be issued at a time before or after downloading the digital content 12 from the computer 60 to the portable device 62, or at another time, all without departing from the spirit and scope of the present invention. Note that although the present invention is discussed in terms 5 of a computer 60 and a portable device 62, as seen in Fig. 13, it will be appreciated, that the invention may be implemented with regard to any particular types of computing devices 14, as appropriate, without departing from the spirit and scope of the present invention.

As may be appreciated, the sub-license 16s as issued by the 10 computer 60 specifies the limitations, if any, that must be satisfied to render the corresponding content 12 on the portable device. Of course, the computer 60 may issue such sub-license 16s only if permitted according to the terms of the corresponding license 16 as obtained by the computer 60 from an appropriate license server 24. As will be explained below, the computer 60 re-writes at least a 15 portion of the license 16 when issuing the sub-license 16s to be in a form more amenable to the portable device 62.

In particular, and in one embodiment of the present invention, the computer 60 re-encrypts the content key (KD) for decrypting the content 12 into a form that is more amenable to decryption by the portable device 62. In the case 20 where the content key (KD) is encrypted according to an asymmetric key such as the public key of the black box (PU-BB) of the computer 60 (i.e., in the manner discussed above), for example, the computer obtains the content key (KD) by applying the private key of the black box (PR-BB) of the computer 60 (i.e., also in the manner discussed above), then re-encrypts the content key (KD) according to 25 a symmetric secret shared with the portable device 62 (i.e., SECRET(KD)). Thus, and as should be appreciated, the content key (KD) is more readily obtainable by the portable device 62 at the appropriate time since symmetric key decryption requires a relatively small amount of memory as compared with asymmetric key decryption, and such relatively small amount of memory should be available from 30 the player operations memory of a typical portable device 62. Note, too, that

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without re-encrypting the content key (KD), the portable device 62, which of course would not know (PR-BB), would not be able to decrypt (PU-BB (KD)) to obtain (KD).

**5 DELIVERING THE DIGITAL CONTENT 12 AND THE SUB-LICENSE 16S TO THE PORTABLE DEVICE 62**

Referring now to Fig. 14, then, in one embodiment of the present invention, digital content 12 and a corresponding license 16 (i.e., a sub-license 16s) are downloaded to a portable device 62 according to the following steps.

10 Preliminarily, the portable device 62 must be coupled to an appropriate computer 60 by way of an appropriate connection 64 in the manner shown in Fig. 13 (step 1401). The connection 64 may be any appropriate connection without departing from the spirit and scope of the present invention. Typically, though, the portable device 62 has one or more interfaces 66 and such interface(s) 66 dictate the

15 types of connections 64 that may be employed. For example, the interface 66 may be a serial port, a parallel port, a USB port, a 'fire wire' port, an infrared port, or the like, in which case a corresponding type of connection 64 must be employed, assuming the computer 60 supports such connection 64 by way of a corresponding interface 68 and appropriate supporting hardware and/or software.

20 Such connections 64, interfaces 66, 68, and hardware and/or software in support thereof are known or should be apparent to members of the relevant public and therefore need not be described herein in any further detail.

Prior to or after coupling the computer 60 and the computing device 62, the portable device 62 must obtain the content 12 (step 1403). Note that the

25 content 12 may be placed on the portable device 62 directly from an external source, such as by way of an appropriate transferable storage media such as magnetic media, optical media, or electronic media. For example, the storage media may be a micro-size magnetic disk or a 'memory stick' with the content 12 already placed thereon by the portable device 62 or another device. Typically,

30 though, and especially in the case where the storage media of the portable device

is not transferable, the content 12 is placed thereon by first being placed on the computer 60 and then being transferred from the computer 60 to the portable device 62 by way of the connection 64. Note that such placement on the computer 60 may be very brief, especially if the computer 60 is obtaining the 5 content 12 directly for the portable device 62. Obtaining the content 12 and placing such content 12 on the portable device 62, either by way of the computer 60 or otherwise, is known or should be apparent to members of the relevant public and therefore need not be described herein in any further detail.

Also prior to or after coupling the computer 60 and the portable 10 device 62, the computer 60 must obtain a corresponding license 16 for the content 12 from an appropriate source in the manner set forth above (step 1405).

Note that the license 16 need not necessarily allow rendering of the corresponding content 12 on the computer 60. However, such license 16 must allow or at least not prohibit issuance of a corresponding sub-license 16s for the 15 portable device 62 and also rendering of the content 12 on the portable device 62.

That is, a licensor may decide for any of a variety of reasons not to allow rendering of its content 12 on a portable device 62, and therefore prohibit by the terms of a corresponding license 16 issuance of a corresponding sub-license 16s for such portable device 62. Likewise, such licensor may allow issuance of the 20 corresponding sub-license 16s, but only for certain portable devices 62. Such licensor may for example specify such sub-license issuance rules in the license 16 to specify the rules of the derived license.

Thus, the computer 60 checks the obtained license 16 to determine whether the license 16 in fact allows and does not prohibit issuance of the sub- 25 license 16s, and perhaps whether the license 16 in fact allows and does not prohibit issuance of the sub-license 16s for the portable device 62 (step 1407). Such a check of course requires that the portable device 62 communicates identifying information to the computer 60, and may take any appropriate form without departing from the spirit and scope of the present invention. Assuming

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the check determines that the sub-license 16s may in fact be issued, such issuance proceeds.

The computer 60 thus composes and issues a sub-license 16s to the portable device 62 based on the obtained license 16, wherein the portable device 62 renders the corresponding content 12 only in accordance with the terms of the sub-license 16s (step 1409). In composing and issuing such sub-license 16s, and as was discussed above, the computer 60 re-encrypts the content key (KD) for decrypting the content 12 into a form that is more amenable to decryption by the portable device 62.

10           In particular, it is to be remembered that according to the DRM architecture, a piece of digital content 12 is encrypted according to a symmetric content key (KD), that the content 12 is to be rendered on a computer 60 having a black box, that the black box has associated asymmetric public and private keys (PU-BB, PR-BB), that a corresponding license 16 for the content 12 contains the content key (KD) encrypted according to (PU-BB), and therefore that the license 16 is tied or bound to the black box of the computer 60 and the computer 60 itself.

15           In issuing a sub-license 16s to the portable device 62 for the corresponding content 12, then, the computer 60 must tie or bind the sub-license 16s to the portable device 62 by re-encrypting the content key (KD) therein according to a secret known or derivable by the portable device 62, and also by the computer 60, where the secret is based at least in part on an identifier incumbent in the portable device 62.

20           Thus, the computer 60 performs such re-encryption by:

1.           obtaining the content key (KD) encrypted according to (PU-BB) (i.e., (PU-BB (KD)) from the license (step 1409a);
- 25           2.           applying (PR-BB) to (PU-BB (KD)) to obtain (KD) (step 1409b); and
3.           3.           encrypting (KD) according to a symmetric secret shared with the portable device 62 (i.e., SECRET(KD)) (step 1409c).

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Such (SECRET(KD)) is then placed in the sub-license 16s by the computer 60 (step 1409d). As was pointed out above, the content key (KD) is more readily obtainable from (SECRET (KD)) by the portable device 62 at the appropriate time since symmetric key decryption requires a relatively small amount of memory as

5 compared with asymmetric key decryption, and such relatively small amount of memory should be available from the player operations memory of a typical portable device 62.

In composing and issuing the sub-license 16s, then, the computer 60 generates the sub-license 16s from the license 16 by re-writing the license 16

10 to substitute SECRET (KD) for PU-BB (KD), as is seen in Fig. 15. In generating the sub-license 16s, the computer also includes a rights description in the sub-license 16s (step 1409e). Such rights description is essentially the rights description set forth within the license 16 (i.e., DRL 48 of Fig. 8). Note that the computer 60 may alter such rights description if necessary or advisable, although 15 no particular rights description alterations are required, generally. Alternatively, the licensor may specify the rights description for the sub-license 16s in the license 16, where the rights description for the sub-license 16s is substantially different from and/or separate from the rights description for the license 16.

Finally, in generating the sub-license 16s, the computer 60 may add any indexing

20 information necessary to identify the shared secret to the portable device 62, as will be discussed in more detail below (step 1409f).

Once substantively generated, the sub-license 16s may be finished by adding a signature to verify the contents of the sub-license 16s (step 1409g).

As may be appreciated, such signature is based on at least a portion of the

25 information in the sub-license 16s. As may also be appreciated, since the sub-license 16s does not employ public key cryptography, the signature here may be a message authentication code (MAC), rather than a public-private key-dependent signature. Accordingly, alteration of such information will cause the signature / MAC to fail to verify. Preferably, the signature / MAC is based on a 30 secret shared by the computer 60 and the portable device 62 and derivable by the

portable device 62. For example, the secret may be the same secret employed to encrypt the content key (KD) if derivable without first verifying the signature, or any other secret without departing from the spirit and scope of the present invention.

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### RENDERING THE CONTENT ON THE PORTABLE DEVICE 62

Referring now to Fig. 16, once the portable device 62 has the content 12 and the sub-license 16s, such portable device 62, if allowed by the rights description in the sub-license 16s, may render the content 12 by:

- 10 1. obtaining the indexing information in the sub-license 16s to identify the shared (SECRET) (step 1601);  
2. deriving the shared (SECRET) (step 1603);  
3. verifying the contents of the sub-license 16s based on the signature thereof and (SECRET) (step 1605);  
15 4. applying (SECRET) to (SECRET (KD)) to obtain the content key (KD) (step 1607); and  
5. applying (KD) to decrypt the encrypted content 12 (step 1609).

### 20 DERIVING THE SHARED SECRET

The shared (SECRET) which encrypts the content key (KD) within the sub-license 16s has two attributes: (1) it is derivable by both the portable device 62 and the computer 60; and (2) it ties or binds the sub-license 16s to the portable device 62 by being based at least in part on an identifier incumbent in the 25 portable device 62. The shared (SECRET) may also have a third attribute where it ties or binds the sub-license to the content 12, but the sub-license 16s presumably is already tied to the content 12 by including the content ID of the content 12, so this third attribute is not an absolute requirement. Of course, the

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shared (SECRET) may also have other attributes without departing from the spirit and scope of the present invention.

In one embodiment of the present invention, then, the shared (SECRET) is a function of the content ID of the content 12 and an identifier that 5 identifies the portable device 62. However, these items are readily available to anyone, including any nefarious entity wishing to render the content 12 without benefit of any license 16 or sub-license 16s. Accordingly, in one embodiment of the present invention, the shared (SECRET) is additionally a function of a shared (SUPER-SECRET) that is derivable by both the portable device 62 and the 10 computer 60, but not by others, at least under normal circumstances:

(SECRET) = function (content ID, portable device identifier, (SUPER-SECRET)).

Of course, the secret may be a function of other indicia without departing from the 15 spirit and scope of the present invention.

The function is provided to both the computer 60 and the portable device 62 and may be any appropriate function without departing from the spirit and scope of the present invention, although it is preferable that the function be a one-way function such that (SUPER-SECRET) is not identifiable based on 20 knowledge of (SECRET), the content ID, and the portable device identifier. In one embodiment of the present invention, the function is a SHA (secure hashing algorithm) function. Such a SHA function as well as other appropriate functions are known or should be apparent to the relevant public and therefore need not be further disclosed herein.

25 The content ID is obtainable by both the computer 60 and the portable device 62 either from the package 12p containing the content 12 or from the license 16 or sub-license 16s. The portable device identifier may be any appropriate identifier without departing from the spirit and scope of the present invention. Of course, the portable device identifier is to be available in an 30 electronic form so that such identifier is retrievable from within the portable device

62 thereby, and is transmittable therefrom to the computer 60 by way of the connection 64 therebetween.

Preferably, the portable device identifier is a unique serial number permanently assigned to the portable device 62 during the manufacturing thereof.

5 However, it is to be appreciated that not all portable devices 62 may in fact be provided with such a permanent serial number. In such a situation, a 'soft' serial number may be derived by the computer 60 and the portable device 62 based on attributes or combinations or functions of attributes of the portable device 62 and then stored on the portable device 62. Such attributes should of course be

10 available in electronic form to the portable device 62 and therefore be transmittable therefrom to the computer 60 by way of the connection 64 therebetween. Accordingly, both the portable device 62 and the computer 60 can derive the soft serial number.

15 As may be appreciated, the attributes employed to derive the soft serial number may include but are not limited to amount of RAM, amount of ROM, processing speed, processor indicia, date and/or time of manufacture indicia, and the like. Of course, any appropriate method of deriving the soft serial number from the attributes may be employed without departing from the spirit and scope of the present invention. In an alternate embodiment, rather than assuming the

20 soft serial number, such soft serial number is arbitrarily assigned by the computer 60 or the portable device 62 and stored on the portable device 62.

The shared (SUPER-SECRET) may be a universal super-secret provided from a trusted source to all trusted computers 60 and trusted portable devices 62. Of course, no matter how trusted, such a universal super-secret

25 would eventually become public. Accordingly, in one embodiment of the present invention, then, the shared (SUPER-SECRET) is a function of a (SUPER-SUPER-SECRET) and an indexing value j:

$$(\text{SUPER-SECRET}) = \text{function } ((\text{SUPER-SUPER-SECRET}), j).$$

As before, the super-secret may be a function of other indicia without departing from the spirit and scope of the present invention, and the function may be any appropriate function without departing from the spirit and scope of the present invention. Preferably, and also as before, the function is a one-way function such 5 as the aforementioned SHA function. Accordingly, (SUPER-SUPER-SECRET) is not identifiable based on knowledge of (SUPER-SECRET) and the indexing value j.

Note here that the indexing value j is the aforementioned indexing information referred to in steps 1409e and 1601, above. As will be explained in 10 more detail below, by using the indexing value j and (SUPER-SUPER-SECRET) to derive (SUPER-SECRET), a no-longer-trustworthy (SUPER-SECRET) may be replaced merely by incrementing the indexing value j and deriving a new (SUPER-SECRET) via the function and (SUPER-SUPER-SECRET).

Notably, (SUPER-SUPER-SECRET) is only provided to entities 15 considered to be especially trustworthy. Here, for example, the portable device 62 may be considered to be an especially trustworthy entity, particularly if it is extraordinarily difficult verging on impossible to pry (SUPER-SUPER-SECRET) from such portable device 62. This in fact is often the case, especially when such (SUPER-SUPER-SECRET) is hard-embedded in a secure manner.

20 Correspondingly, entities not considered especially trustworthy are only provided with (SUPER-SECRET), and are regularly updated with a new (SUPER-SECRET) when such items become available. Of course, all entities are provided with the indexing value j corresponding to (SUPER-SECRET). Here, for example, the computer 60 may be considered to be not an especially 25 trustworthy entity, particularly if the computer 60 has no special security features.

While additional layers of protection may no doubt be added ad infinitum, it is to be appreciated that, as a practical matter, the computer 60 can reasonably be expected to perform only so much to derive (SECRET). More importantly, due to the limited amount of player operations memory of a typical 30 portable device 62, due to practical limitations on complexity, and other factors,

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such portable device is limited, again as a practical matter, as to how much it can actually be expected to perform to derive (SECRET). Nevertheless, in one embodiment of the present invention, one more layer of protection is provided in the event (SUPER-SUPER-SECRET) does in fact become compromised.

5 In particular, in such embodiment, (SUPER-SUPER-SECRET) is  
provided with an indexing value k, where such indexing value k is incremented  
each time a new (SUPER-SUPER-SECRET) is put into use. Note that if (SUPER-  
SUPER-SECRET) is expected to change, such (SUPER-SUPER-SECRET)  
cannot be hard-embedded within the portable device 62, and instead the portable  
10 device 62 must be provided with each new instance of (SUPER-SUPER-  
SECRET), as well as the indexing value k thereof.

Further, in such embodiment, the shared (SUPER-SECRET) is a function of a particular (SUPER-SUPER-SECRET) as indexed by the indexing value k, the aforementioned indexing value j, and also the indexing value k itself:

15

(SUPER-SECRET) = function ((SUPER-SUPER-SECRET), i, k).

As before, the super-secret may be a function of other indicia without departing from the spirit and scope of the present invention, and the function may be any appropriate function without departing from the spirit and scope of the present invention. Preferably, and also as before, the function is a one-way function such as the aforementioned SHA function. Accordingly, (SUPER-SUPER-SECRET) is not identifiable based on knowledge of (SUPER-SECRET) and the indexing values j, k. Note here that the indexing values j and k are the aforementioned indexing information referred to in steps 1409e and 1601, above.

Briefly, and as seen in Fig. 17, the computer 60, which is assumed to be not especially trustworthy, derives (SECRET) for a particular sub-license 16s for a particular piece of content 12 to be rendered on a particular portable device 62 by:

1. obtaining the content ID of the content 12, either therefrom or from the corresponding license 16 (step 1701);
2. obtaining the portable device identifier from the portable device 62 (step 1703);
- 5 3. obtaining the already-provided current (SUPER-SECRET) (step 1705); and
4. applying the obtained content ID, portable device identifier, and (SUPER-SECRET) to the function to derive (SECRET) (step 1707):

10

(SECRET) = function (content ID, portable device identifier, (SUPER-SECRET)).

Of course, the computer 60 notes the already-provided indexing values j and k used to derive (SUPER-SECRET) for a sub-license 16s in the indexing

15 information field of such sub-license 16s (step 1409f of Fig. 14). Note that if the computer 60 is instead especially trustworthy, such computer 60 may be provided with (SUPER-SUPER-SECRET). In such case, the computer 60 would derive (SECRET) from (SUPER-SUPER-SECRET) in a manner akin to that discussed immediately below.

20 In particular, and as seen in Fig. 18, the portable device 62, which is assumed to be especially trustworthy, derives (SECRET) for a particular sub-license 16s for a particular piece of content 12 to be rendered on a particular portable device 62 by:

1. obtaining the content ID of the content 12, either therefrom or from the corresponding sub-license 16s (step 1801);
2. obtaining the portable device identifier from the portable device 62 (step 1803);
3. obtaining from the indexing information in the sub-license 16s the indexing values j and k used to derive the (SUPER-30 SECRET) of the sub-license 16s (step 1805);

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4. obtaining the (SUPER-SUPER-SECRET) corresponding to the indexing value k (step 1807);
5. applying the obtained (SUPER-SUPER-SECRET) and the indexing values j and k to the function to derive the (SUPER-SECRET) (step 1809):

5

(SUPER-SECRET) = function ((SUPER-SUPER-SECRET), j, k); and

6. applying the obtained content ID and portable device identifier and the obtained (SUPER-SECRET) to the function to obtain the (SECRET) (step 1811):

10

(SECRET) = function (content ID, portable device identifier, (SUPER-SECRET)).

- 15 Note that if the portable device 62 is instead not especially trustworthy, such portable device 62 may be provided with (SUPER-SECRET) only. In such case, the computer 60 would derive (SECRET) from (SUPER-SECRET) in a manner akin to that discussed above.

20

## THE DRM SYSTEM 32 ON THE PORTABLE DEVICE 62

The DRM system 32 on the portable device 62 is somewhat simplified as compared with the DRM system 32 heretofore described. Such simplification is necessitated by the limited amount of player operations memory on the portable device 62, but is achievable because of the simplified nature of the portable device 62. For one thing, the portable device DRM system 32 need not perform content acquisition other than cooperating with the computer 60 to download content 12 therefrom. For another, the portable device DRM system 32 need not perform license acquisition other than cooperating with the computer 60 to create and download a sub-license 16s therefrom. Also, it bears noting that the portable device DRM system 32 need not support an extensive user interface,

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especially inasmuch as the portable device has a limited view screen if any and a limited input interface if any. In addition, although the portable device DRM system 32 requires a black box or the like to render content, the operation of such black box or the like is simplified due to the exclusive use of symmetric keys.

- 5 Moreover, with such simplification, the portable device black box or the like need not necessarily be upgraded. Note, though, that such black box should support changes to (SUPER-SECRET) and/or (SUPER-SUPER-SECRET), as such changes may arise. Based on such parameters, any appropriate DRM system 32 may be placed on the portable device 62 without departing from the spirit and
- 10 scope of the present invention.

## CONCLUSION

The programming necessary to effectuate the processes performed and modules instantiated in connection with the present invention is relatively straight-forward and should be apparent to the relevant programming public. Accordingly, such programming is not attached hereto. Any particular programming, then, may be employed to effectuate the present invention without departing from the spirit and scope thereof.

In the foregoing description, it can be seen that the present invention comprises a new and useful enforcement architecture 10 that allows the controlled rendering or playing of arbitrary forms of digital content 12, where such control is flexible and definable by the content owner of such digital content 12. Also, the present invention comprises a new useful controlled rendering environment that renders digital content 12 only as specified by the content owner, even though the digital content 12 is to be rendered on a computing device 14 which is not under the control of the content owner. Further, the present invention comprises a trusted component that enforces the rights of the content owner on such computing device 14 in connection with a piece of digital content 12, even against attempts by the user of such computing device 14 to access such digital content 12 in ways not permitted by the content owner.

It should be appreciated that changes could be made to the embodiments described above without departing from the inventive concepts thereof. In particular, although the present invention has heretofore been described in terms of a portable device 62 attached directly to a PC or computer 5 60, where the PC 60 has a PC license 16 and the PC license 16 is rewritten into a portable device license 16s that is amenable to a SECRET scheme, the present invention is more broadly defined.

For example, the portable device 62 does not in fact have to be portable and may in fact be tethered or too heavy to be moved around. Thus, the 10 device 62 could be another computer or PC while still being within the spirit and scope of the present invention. Broadly stated, in the present invention, the sub-license 16s may be prepared by any device for any other device and delivered to such other device using the mechanisms described herein, where such other device employs the afore-described SECRET scheme in combination with the 15 received sub-license 16s.

In addition, the device 62 does not have to be connected directly to the computer 60 and may in fact be indirectly connected by way of a network such as a wired or wireless LAN or WAN (e.g., the Internet). Thus, the computer 60 may be any computer on such network or one of several computers on such 20 network. By extension, if the device 62 can receive a sub-license 62 from an arbitrary computer on the network, such device can obtain such sub-license 16s directly from a license server 24. In this case, and as should be appreciated, the sub-license 16s is not re-written but is generated directly by the license server 24.

Moreover, although heretofore discussed in terms of a portable 25 device 62, the sub-license 16s may be written directly for portable media that is mounted to such device 62 and that is transferable to other devices 62 and other devices in general. Of course, some alterations are required. Importantly, the portable device identifier is now a portable media identifier, and the sub-license 16s is written to be independent of the device 62.

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Finally, it should be understood that in the present invention, (SECRET), (SUPER-SECRET), and/or (SUPER-SUPER-SECRET), etc. may at times be obtained directly by the computer 60 or device 62 or may at times be derived by such computer 60 or device 62. Accordingly, in connection with the 5 present invention, use of the term 'acquiring' and its related forms may be interpreted as obtaining and/or deriving (and their related forms) such (SECRET), (SUPER-SECRET), and/or (SUPER-SUPER-SECRET), etc., as appropriate.

It should be understood, therefore, that this invention is not limited to the particular embodiments disclosed, but it is intended to cover modifications 10 within the spirit and scope of the present invention as defined by the appended claims.

## CLAIMS

5

1. A method for enabling the rendering of digital content on a device, the method comprising:

transferring the content to the device;

obtaining a digital license corresponding to the content;

10 composing a sub-license corresponding to and based on the obtained license and transferring the composed sub-license to the device, to enable rendering of the content on the device only in accordance with the terms of the sub-license on the device.

15 2. The method of claim 1 further comprising, prior to composing the sub-license and transferring the composed sub-license to the device, checking the obtained license to determine that such license permits issuance of the sub-license to the device.

20 3. The method of claim 1 further comprising:  
coupling the device to a computer;  
placing the obtained license on the computer; and  
transferring the composed sub-license from the computer to the device.

25

4. The method of claim 3 wherein transferring the content to the device comprises placing the content on the computer and then transferring the content from the computer to the device.

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5. The method of claim 1 wherein the content is encrypted and decryptable according to a content key and wherein the license includes the content key encrypted into a form un-decryptable by the device, the composing of the sub-license comprising re-encrypting the content key into a form that is 5 decryptable by the device and placing the re-encrypted content key in the sub-license.

7. The method of claim 6 wherein encrypting the content key comprises encrypting the content key according to a secret comprising a  
20 symmetric key.

8. The method of claim 5 wherein the composing of the sub-license further comprises placing a rights description in the sub-license, the rights description describing rights conferred by the license, the device rendering the corresponding content only in accordance with the rights description.

9. The method of claim 5 wherein the composing of the sub-license further comprises placing indexing information in the sub-license, the indexing information identifying a secret to the device that the device employs to  
30 decrypt the encrypted content key.

10. The method of claim 5 wherein the composing of the sub-license further comprises placing a signature in the sub-license, the signature verifying the sub-license.

5

11. A method for rendering digital content on a device, the method comprising:

receiving the content onto the device, the content being encrypted and decryptable according to a content key;

10 receiving a digital license corresponding to the content onto the device, the license including the content key encrypted and decryptable according to a secret, the license also including indexing information identifying the secret to the device;

15 secret; obtaining the indexing information in the license to identify the

acquiring the secret based at least in part on the indexing information;

applying the secret to the encrypted content key to decrypt and obtain the content key; and

20 applying the obtained content key to the encrypted content to  
decrypt and obtain the content.

12. The method of claim 11 wherein the license includes a  
signature, the method further comprising verifying the license based on the  
25 signature thereof and the secret.

13. The method of claim 11 wherein the license includes a rights description describing rights conferred by the license, the method comprising rendering the corresponding content only in accordance with the rights 30 description.

14. A method for composing a license for rendering digital content on a device, the content being encrypted and decryptable according to a content key, the device having an identifier, the method comprising:

5 deriving a secret by:  
obtaining the device identifier;  
acquiring a super-secret that is also acquirable by the  
device; and

10 secret to a function to derive the secret:

(SECRET) = function (device identifier, (SUPER-SECRET));

15 encrypting the content key according to the derived secret  
such that the content key is decryptable according to the secret; and  
placing the encrypted content key in the license.

15. The method of claim 14 wherein the content has a content ID, the method comprising deriving a secret by:

20 obtaining the content ID of the content;  
obtaining the device identifier;  
acquiring a super-secret that is also acquirable by the  
device; and

25 super-secret to a function to derive the secret:  
  
(SECRET) = function (content ID, device identifier, (SUPER-SECRET)).

16. The method of claim 14 wherein the super-secret is identified by indexing information, the method further comprising placing the indexing information in the license, whereby the device may obtain the indexing information from the license and thereby identify the super-secret by way of the indexing information.

17. The method of claim 14 wherein acquiring the super-secret comprises:

15 (SUPER-SECRET) = function ((SUPER-SUPER-SECRET), j),

the method further comprising placing the indexing value  $j$  in the license, whereby the device may obtain the indexing value  $j$  from the license and thereby identify 20 the super-secret by way of the indexing value.

18. The method of claim 17 wherein acquiring the super-secret comprises:

(SUPER-SECRET) = function ((SUPER-SUPER-SECRET), j, k),

the method further comprising placing the indexing values  $j$ ,  $k$  in the license,  
5 whereby the device may obtain the indexing values  $j$ ,  $k$  from the license and  
thereby identify the super-secret by way of the indexing value.

19. A method for rendering digital content on a device, the content being encrypted and decryptable according to a content key, the content key being encrypted and decryptable according to a secret, the device having an identifier, the method comprising:

obtaining the encrypted content key from a digital license corresponding to the content;

15 deriving the secret by:

- obtaining the device identifier;
- acquiring a super-secret; and
- applying the obtained device identifier and super-secret to a function to derive the secret:

20 (SECRET) = function (device identifier, (SUPER-SECRET));

decrypting the content key according to the derived secret;  
decrypting the content according to the derived content key;

and

25 rendering the decrypted content.

20. The method of claim 19 wherein the content has a content ID, the method comprising deriving a secret by:

30 obtaining the content ID of the content;  
obtaining the device identifier;

acquiring a super-secret; and  
applying the obtained content ID, device identifier, and  
super-secret to a function to derive the secret:

5 (SECRET) = function (content ID, device identifier, (SUPER-SECRET)).

10 21. The method of claim 19 wherein the super-secret is identified  
by indexing information in the license, the method further comprising obtaining the  
indexing information from the license and thereby identifying the super-secret by  
way of the indexing information.

15 22. The method of claim 19 wherein the super-secret is identified  
by an indexing value j, the indexing value j being located in the license, and  
wherein acquiring the super-secret comprises:

20 obtaining a super-super-secret;  
obtaining the indexing value j from the license and  
thereby identifying the super-secret by way of the indexing value j; and  
applying the obtained super-super-secret and the  
indexing value j to a function to derive the super-secret identified by the  
indexing value j:

(SUPER-SECRET) = function ((SUPER-SUPER-SECRET), j).

25

23. The method of claim 22 wherein the super-super-secret is  
identified by an indexing value k, the indexing value k being located in the license,  
and wherein acquiring the super-secret comprises:

30 obtaining the indexing value k from the license and  
thereby identifying the super-super-secret by way of the indexing value k

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obtaining the super-super-secret having the indexing value k;

obtaining the indexing value j from the license and thereby identifying the super-secret by way of the indexing value j; and

5 applying the obtained super-super-secret having the indexing value k and the indexing values j, k to a function to derive the super-secret identified by the indexing value j:

(SUPER-SECRET) = function ((SUPER-SUPER-SECRET), j, k).

10

24. A computer-readable medium having computer-executable instructions thereon for rendering digital content on a device, the instructions comprising modules including:

15 a first module for transferring the content to the device;  
a second module for obtaining a digital license corresponding to the content; and  
a third module for composing a sub-license corresponding to and based on the obtained license and transferring the composed sub-license to  
20 the device; the content on the device being rendered only in accordance with the terms of the sub-license on the device.

25. The medium of claim 24 further comprising, a fourth module for, prior to composing the sub-license and transferring the composed sub-license to the device, checking the obtained license to determine that such license permits issuance of the sub-license to the device.

26. The medium of claim 24 wherein the device is coupled to a computer, the second module placing the obtained license on the computer and

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the third module transferring the composed sub-license from the computer to the device.

27. The medium of claim 26 wherein the first module places the  
5 content on the computer and then transfers the content from the computer to the device.

28. The medium of claim 24 wherein the content is encrypted and  
decryptable according to a content key and wherein the license includes the  
10 content key encrypted into a form un-decryptable by the device, the third module  
composing the sub-license by re-encrypting the content key into a form that is  
decryptable by the device and placing the re-encrypted content key in the sub-  
license.

15 29. The medium of claim 28 wherein the license is issued to a  
computer having a public key (PU) and a private key (PR) corresponding to (PU),  
and wherein the content key in the license is encrypted according to (PU)  
(PU(content key)), the third module re-encrypting the content key by:

20 obtaining (PU(content key)) from the license;  
applying (PR) to (PU(content key)) to obtain the content key;  
and  
encrypting the content key according to a secret acquirable  
by the device.

25 30. The medium of claim 29 wherein the third module encrypts  
the content key according to a secret comprising a symmetric key.

31. The medium of claim 28 wherein the third module places a  
rights description in the sub-license, the rights description describing rights

conferred by the license, the device rendering the corresponding content only in accordance with the rights description.

32. The medium of claim 28 wherein the third module places  
5 indexing information in the sub-license, the indexing information identifying a  
secret to the device that the device employs to decrypt the encrypted content key.

33. The medium of claim 28 wherein the third module places a signature in the sub-license, the signature verifying the sub-license.

10

34. A computer-readable medium having computer-executable instructions thereon for rendering digital content on a device, the instructions comprising modules including:

15 a first module for receiving the content onto the device, the content being encrypted and decryptable according to a content key; a second module for receiving a digital license corresponding to the content onto the device, the license including the content key encrypted and decryptable according to a secret, the license also including indexing information identifying the secret to the device;

20 a third module for obtaining the indexing information in the  
license to identify the secret;

a fourth module for acquiring the secret based at least in part on the indexing information;

25 a fifth module for applying the secret to the encrypted content key to decrypt and obtain the content key; and  
a sixth module for applying the obtained content key to the encrypted content to decrypt and obtain the content.

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35. The medium of claim 34 wherein the license includes a signature, the medium further comprising a seventh module for verifying the license based on the signature thereof and the secret.

5                   36. The medium of claim 34 wherein the license includes a rights  
description describing rights conferred by the license, the medium further  
comprising a seventh module for rendering the corresponding content only in  
accordance with the rights description.

10 37. A computer-readable medium having computer-executable instructions thereon for composing a license for rendering digital content on a device, the content being encrypted and decryptable according to a content key, the device having an identifier, the instructions comprising modules including:

15 a first module for deriving a secret by:  
obtaining the device identifier;  
acquiring a super-secret that is also acquirable by the  
device; and  
applying the obtained device identifier and super-  
secret to a function to derive the secret;

20 (SECRET) = function (device identifier, (SUPER-SECRET));

a second module for encrypting the content key according to the derived secret such that the content key is decryptable according to the secret; and

a third module for placing the encrypted content key in the license.

38. The medium of claim 37 wherein the content has a content  
30 ID, the first module deriving a secret by:

obtaining the content ID of the content;  
obtaining the device identifier;  
acquiring a super-secret that is also acquirable by the  
device; and

5 applying the obtained content ID, device identifier, and  
super-secret to a function to derive the secret:

(SECRET) = function (content ID, device identifier, (SUPER-SECRET)).

10

39. The medium of claim 37 wherein the super-secret is identified  
by indexing information, the medium further comprising a fourth module for  
placing the indexing information in the license, whereby the device may obtain the  
indexing information from the license and thereby identify the super-secret by way  
15 of the indexing information.

15

40. The medium of claim 37 wherein the first module acquires the  
super-secret by:

20

obtaining a super-super-secret;  
deciding on an indexing value j identifying a particular  
super-secret; and  
applying the obtained super-super-secret and the  
indexing value j to a function to derive the super-secret identified by the  
indexing value j:

25

(SUPER-SECRET) = function ((SUPER-SUPER-SECRET), j),

the medium further comprising a fourth module for placing the indexing value j in  
the license, whereby the device may obtain the indexing value j from the license  
30 and thereby identify the super-secret by way of the indexing value.

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41. The medium of claim 40 wherein the first module acquires the super-secret by:

obtaining a super-super-secret having an indexing

5 value k;

deciding on an indexing value j identifying a particular super-secret; and

10 applying the obtained super-super-secret and the indexing values j, k to a function to derive the super-secret identified by the indexing value j:

(SUPER-SECRET) = function ((SUPER-SUPER-SECRET), j, k),

the medium further comprising a fourth module for placing the indexing values j, k  
15 in the license, whereby the device may obtain the indexing values j, k from the license and thereby identify the super-secret by way of the indexing value.

42. A computer-readable medium having computer-executable instructions thereon for rendering digital content on a device, the content being encrypted and decryptable according to a content key, the content key being encrypted and decryptable according to a secret, the device having an identifier, the instructions comprising modules including:

a first module for obtaining the encrypted content key from a digital license corresponding to the content;

25 a second module for deriving the secret by:

obtaining the device identifier;

acquiring a super-secret; and

applying the obtained device identifier and super-secret to a function to derive the secret:

(SECRET) = function (device identifier, (SUPER-SECRET));

a third module for decrypting the content key according to the derived secret;

5 a fourth module for decrypting the content according to the  
derived content key; and

a fifth module for rendering the decrypted content.

43. The medium of claim 42 wherein the content has a content  
10 ID, the first module deriving a secret by:

obtaining the content ID of the content;

obtaining the device identifier;

acquiring a super-secret; and

applying the obtained content ID, device identifier, and

15 super-secret to a function to derive the secret:

(SECRET) = function (content ID, device identifier, (SUPER-SECRET)).

20 44. The medium of claim 42 wherein the super-secret is identified by indexing information in the license, the method further comprising a sixth module for obtaining the indexing information from the license and thereby identifying the super-secret by way of the indexing information.

25 45. The method of claim 42 wherein the super-secret is identified by an indexing value j, the indexing value j being located in the license, and wherein the first module acquires the super-secret by:

obtaining a super-super-secret;

obtaining the indexing value  $j$  from the license and

30 thereby identifying the super-secret by way of the indexing value  $j$ ; and

applying the obtained super-super-secret and the indexing value j to a function to derive the super-secret identified by the indexing value j:

5 (SUPER-SECRET) = function ((SUPER-SUPER-SECRET), j).

46. The method of claim 45 wherein the super-super-secret is identified by an indexing value k, the indexing value k being located in the license, 10 and wherein the first module acquires the super-secret by:

obtaining the indexing value k from the license and thereby identifying the super-super-secret by way of the indexing value k  
obtaining the super-super-secret having the indexing value k;

15 obtaining the indexing value j from the license and thereby identifying the super-secret by way of the indexing value j; and applying the obtained super-super-secret having the indexing value k and the indexing values j, k to a function to derive the super-secret identified by the indexing value j:

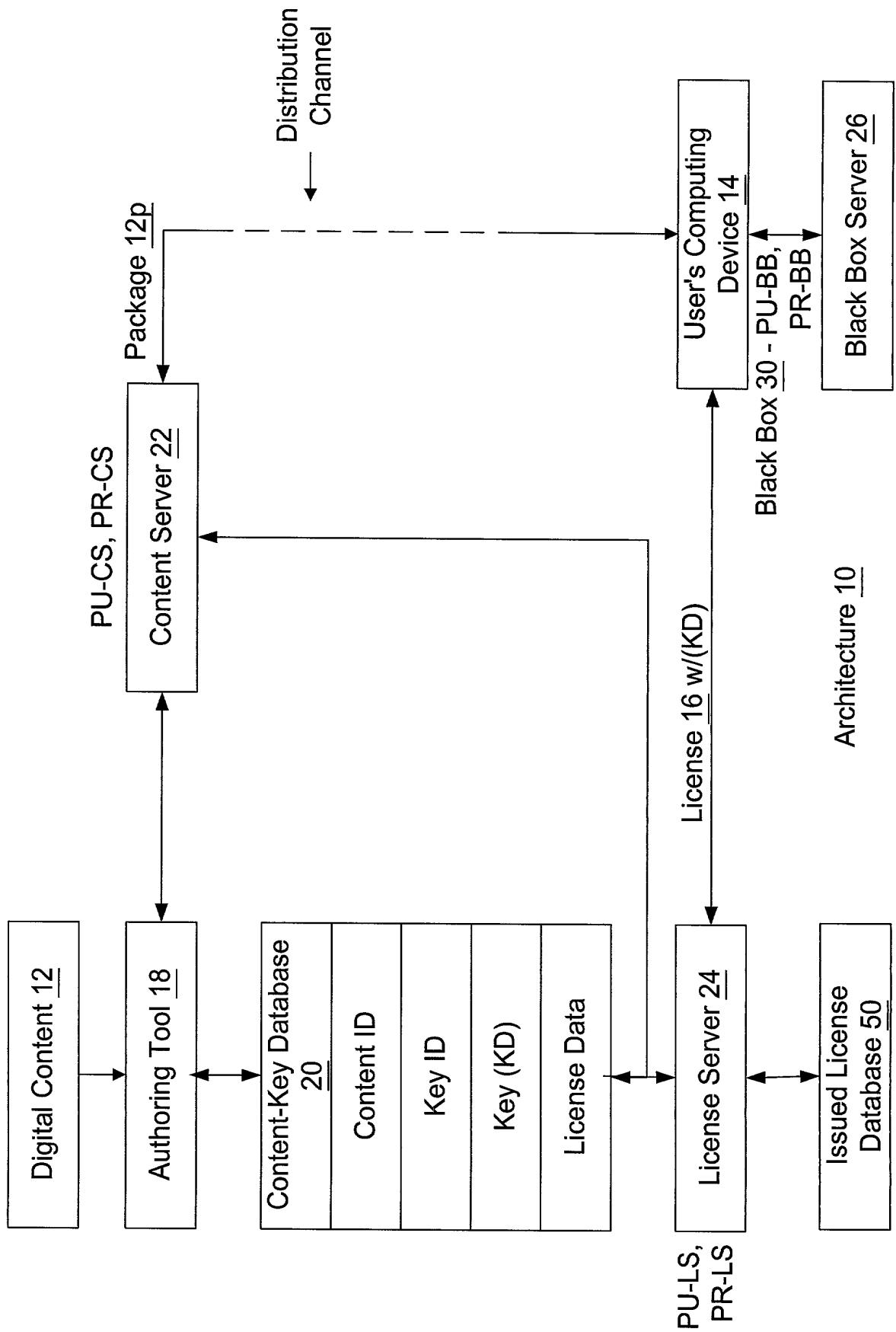
20 (SUPER-SECRET) = function ((SUPER-SUPER-SECRET), j, k).

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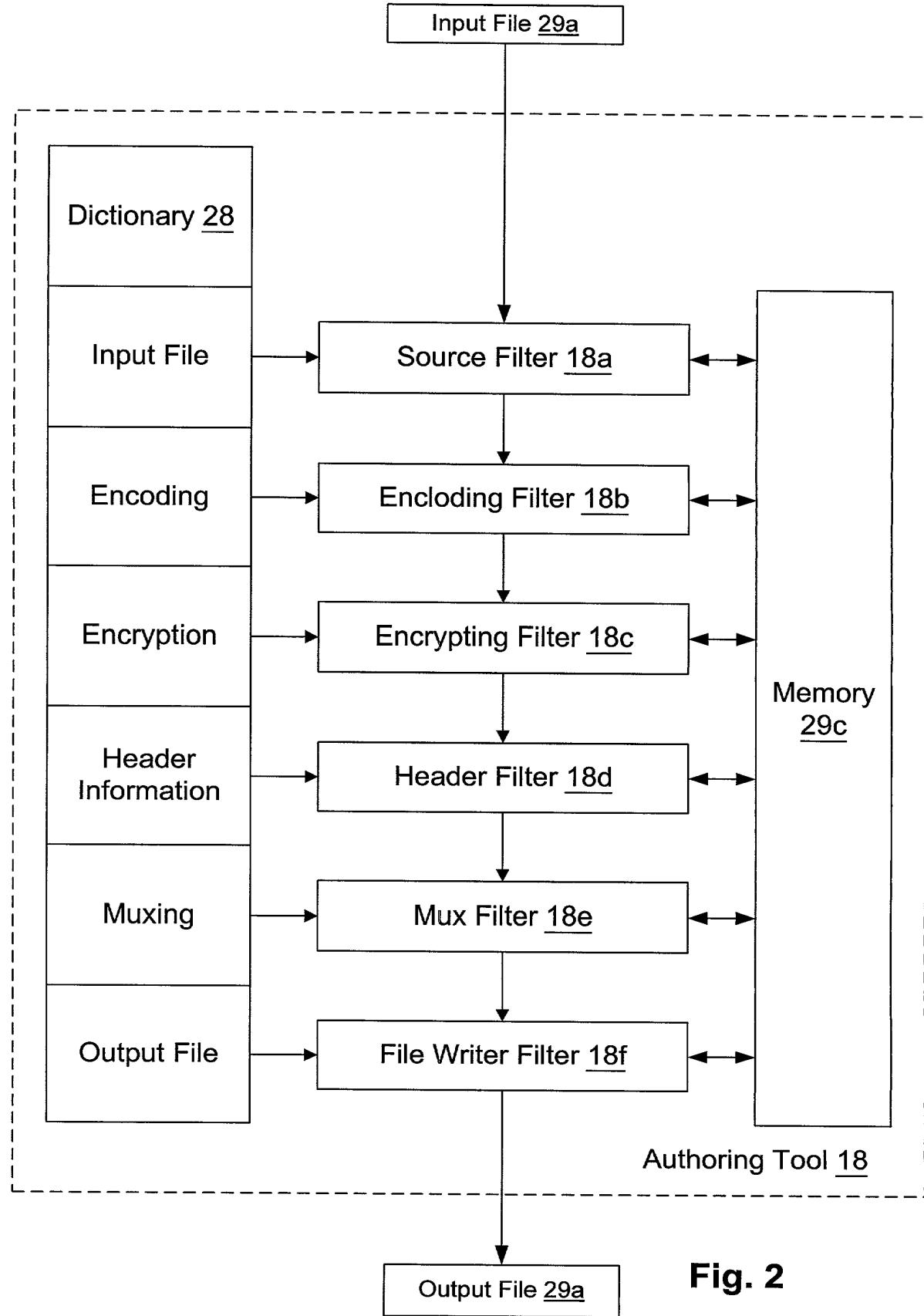
**Abstract of the Invention**

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Digital content is rendered on a device by transferring the content to the device and obtaining a digital license corresponding to the content. A sub-license corresponding to and based on the obtained license is composed and transferred to the device, and the content is rendered on the device only in accordance with the terms of the sub-license. The content is encrypted and decryptable according to a content key, and the sub-license includes the content key encrypted and decryptable according to a secret. The sub-license also includes indexing information identifying the secret to the device. The indexing information in the sub-license is obtained to identify the secret, and the secret is acquired based at least in part on the indexing information. The secret is then applied to the encrypted content key to decrypt and obtain the content key, and the obtained content key is applied to the encrypted content to decrypt and obtain the content. To compose the sub-license and also to render the content, the secret is derived by obtaining a device identifier, acquiring a super-secret, and applying the obtained device identifier and super-secret to a function to derive the secret.



**Fig. 1**

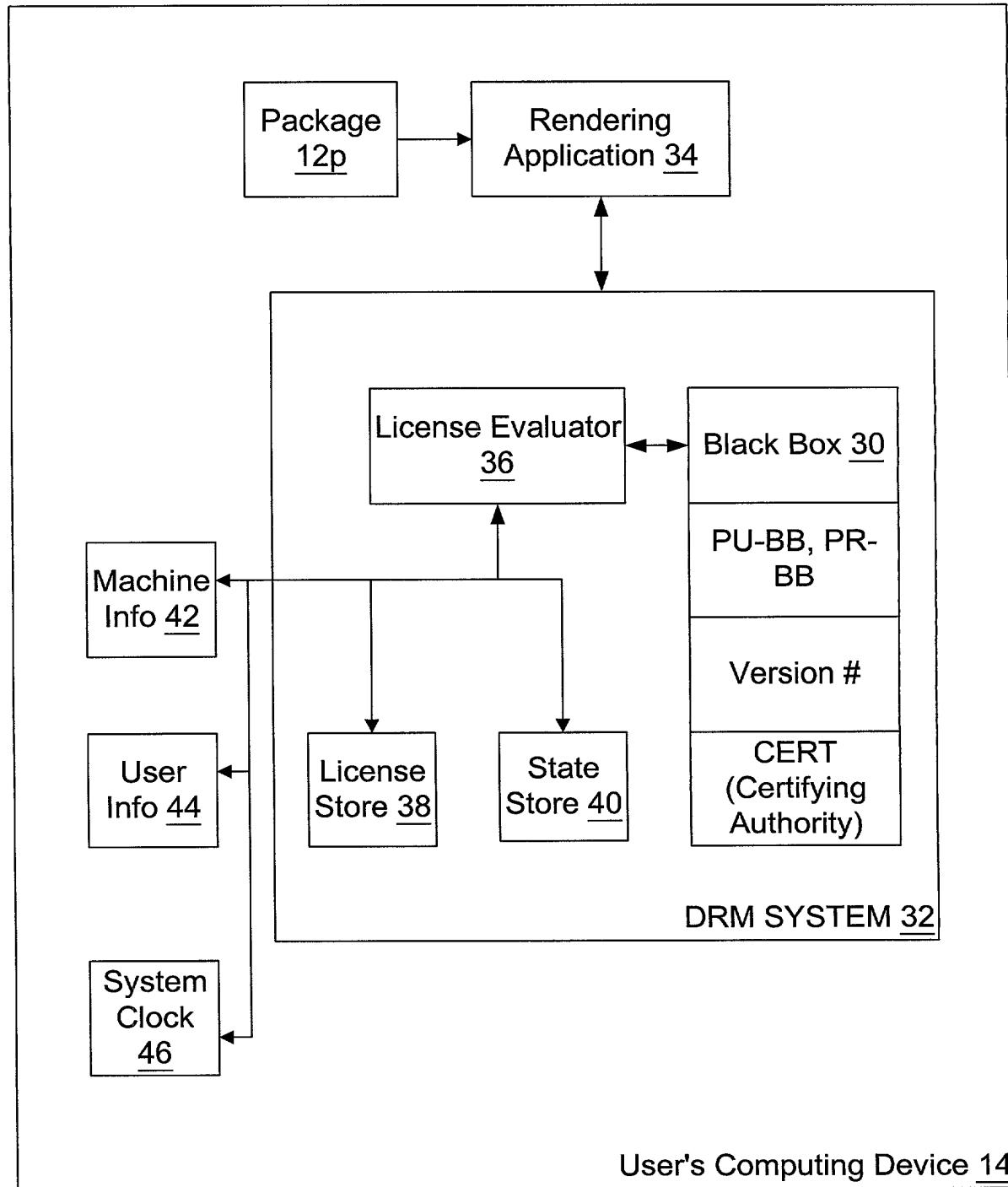


Digital Content Package <u>12p</u>	KD (Digital Content <u>12</u> )	Content ID	Key ID	License Acquisition Info	KD (PU-CS) S (PR-CS)
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**Fig. 3**

License <u>16</u>	Content ID	DRL <u>48</u> or KD (DRL <u>48</u> )	PU-BB (KD)	S (PR-LS)	CERT (PU-LS) S (PR-CS)
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**Fig. 8**



**Fig. 4**

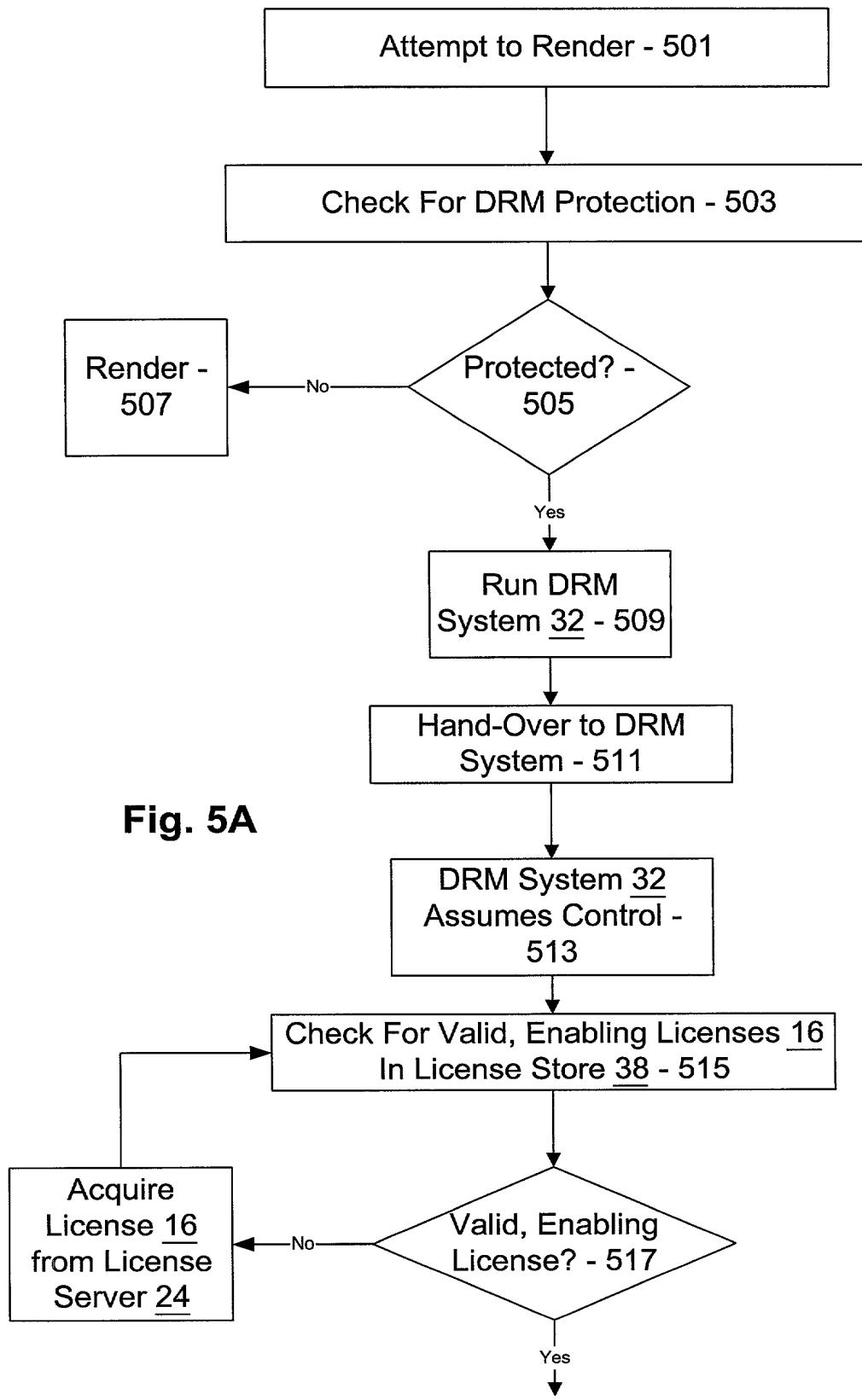
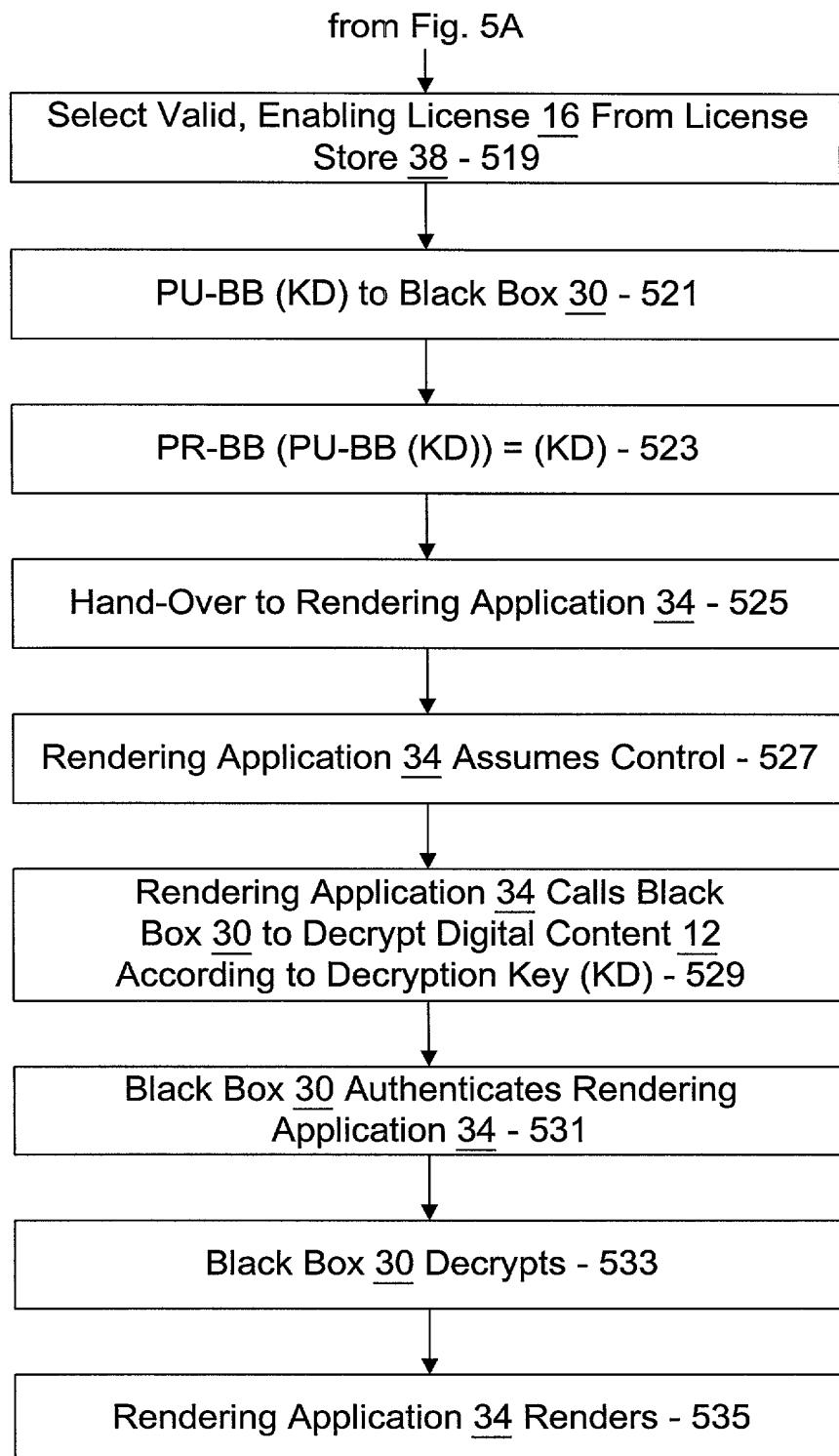
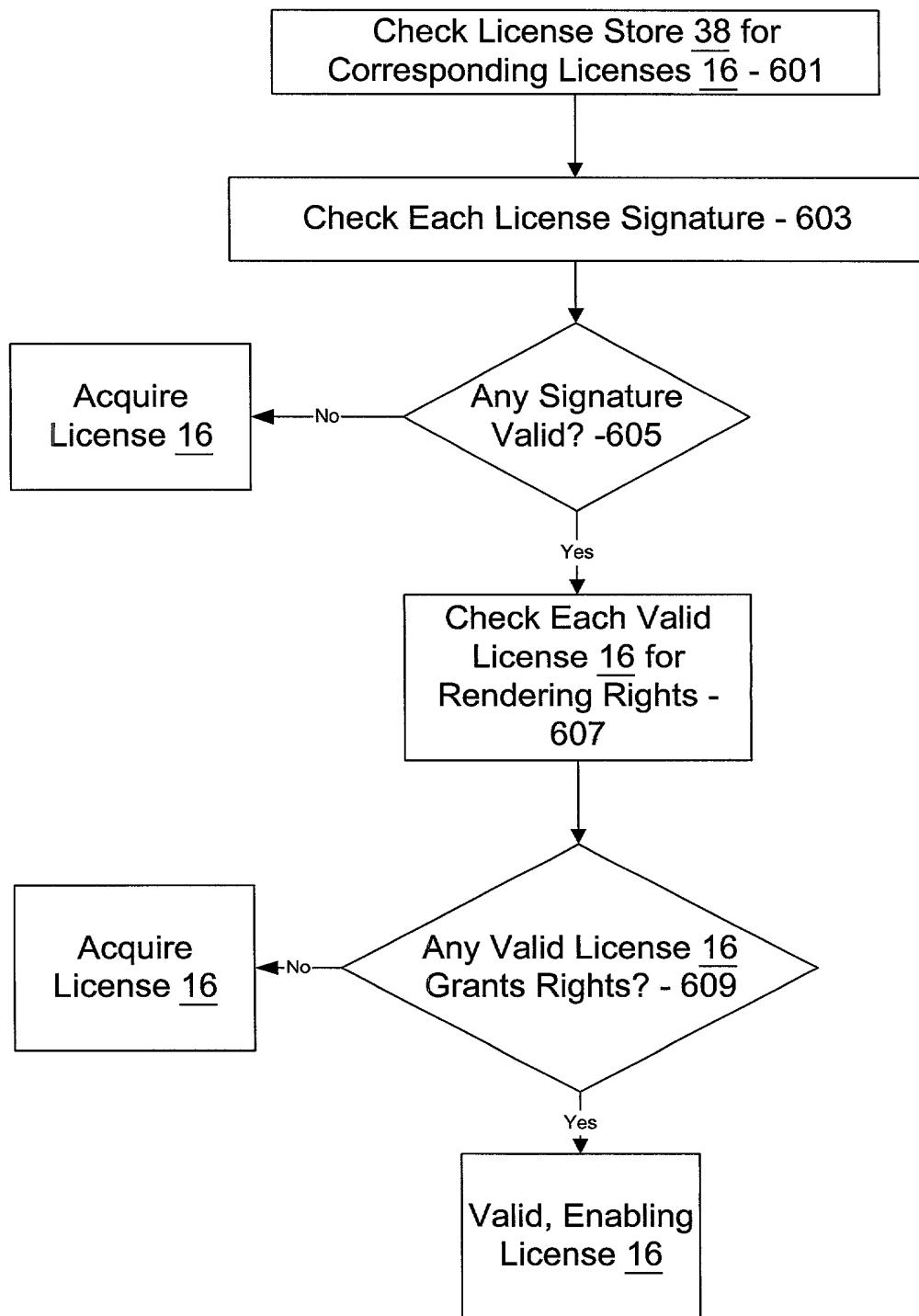


Fig. 5A

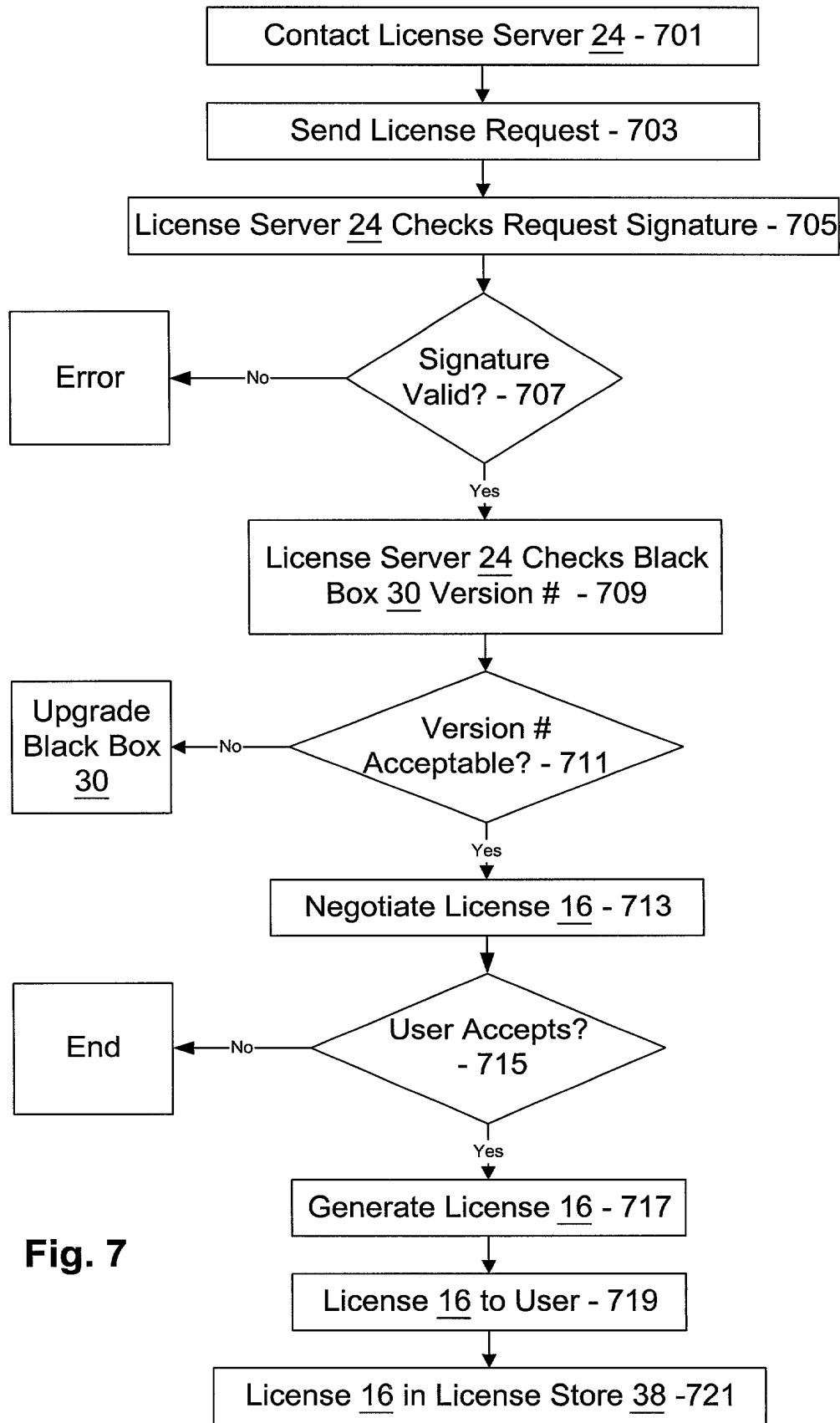
to Fig. 5B



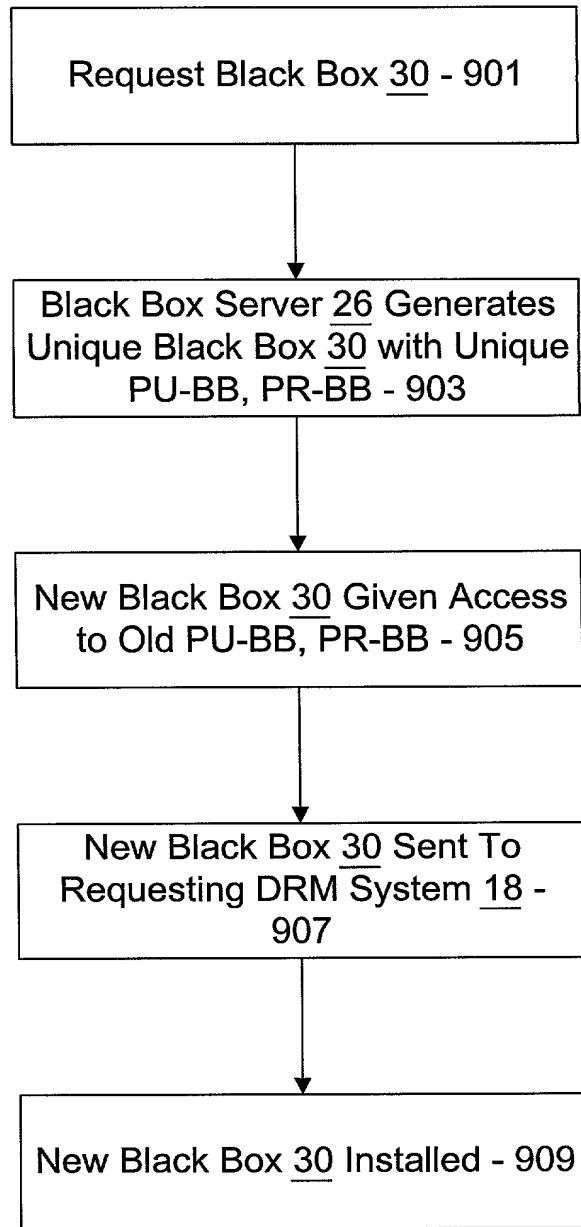
**Fig. 5B**



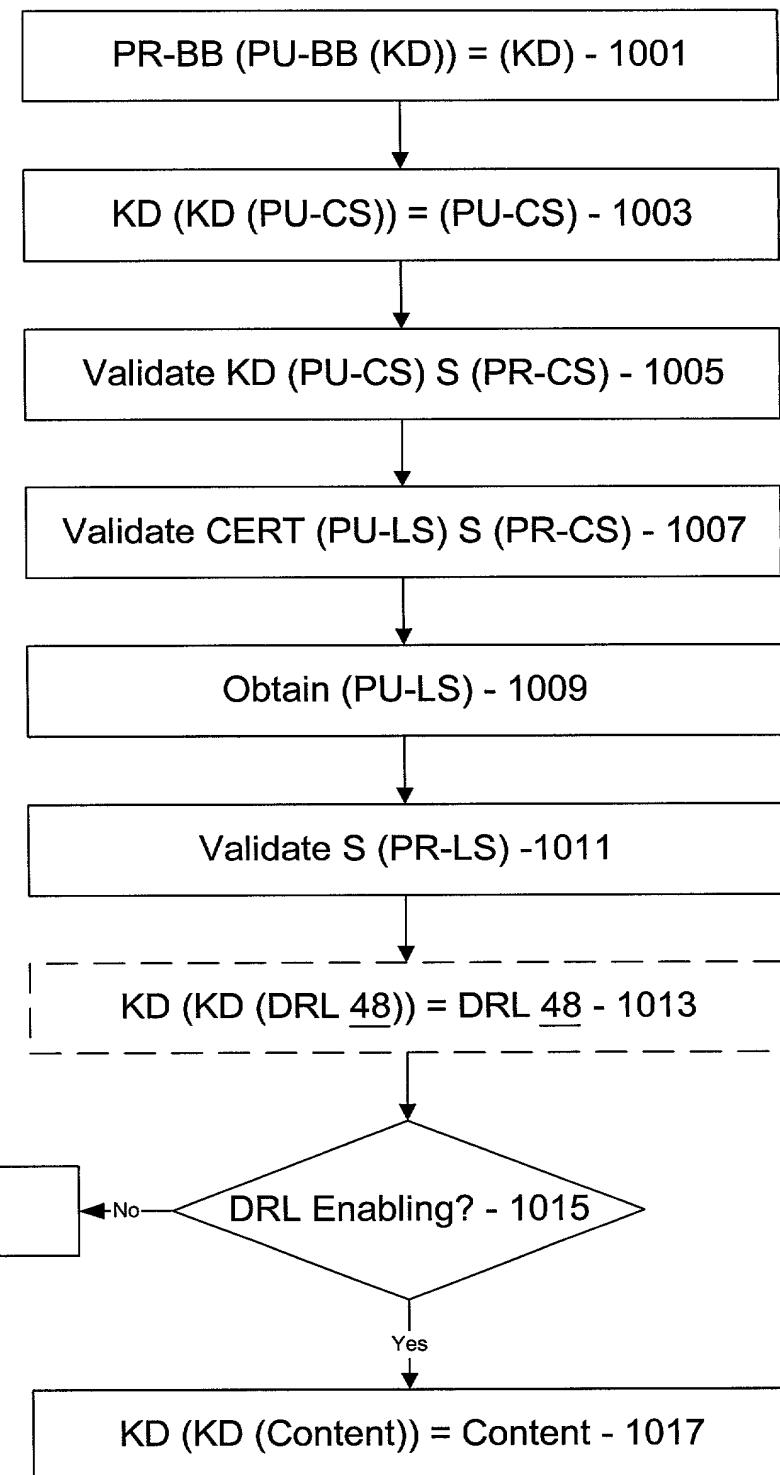
**Fig. 6**



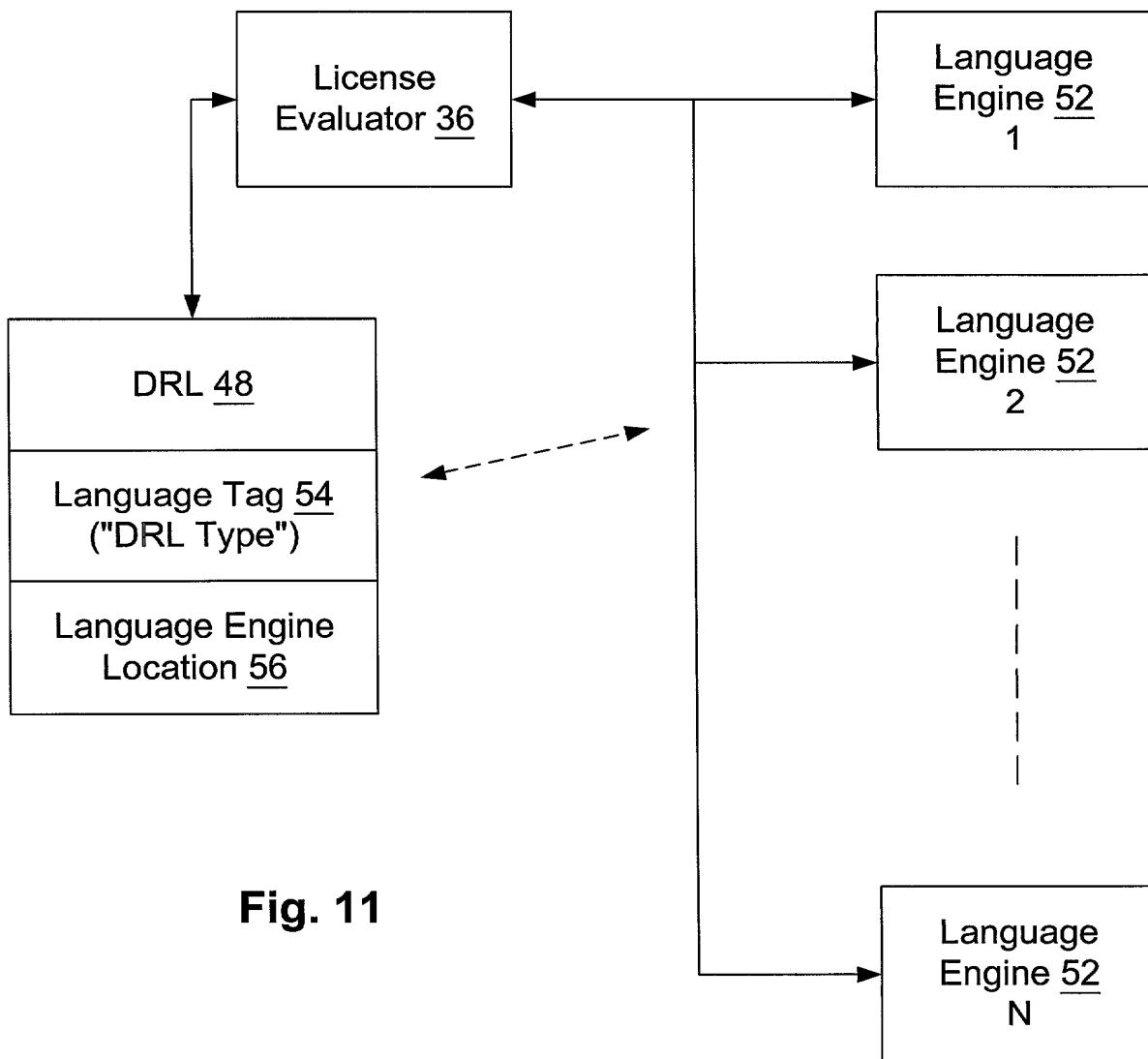
**Fig. 7**



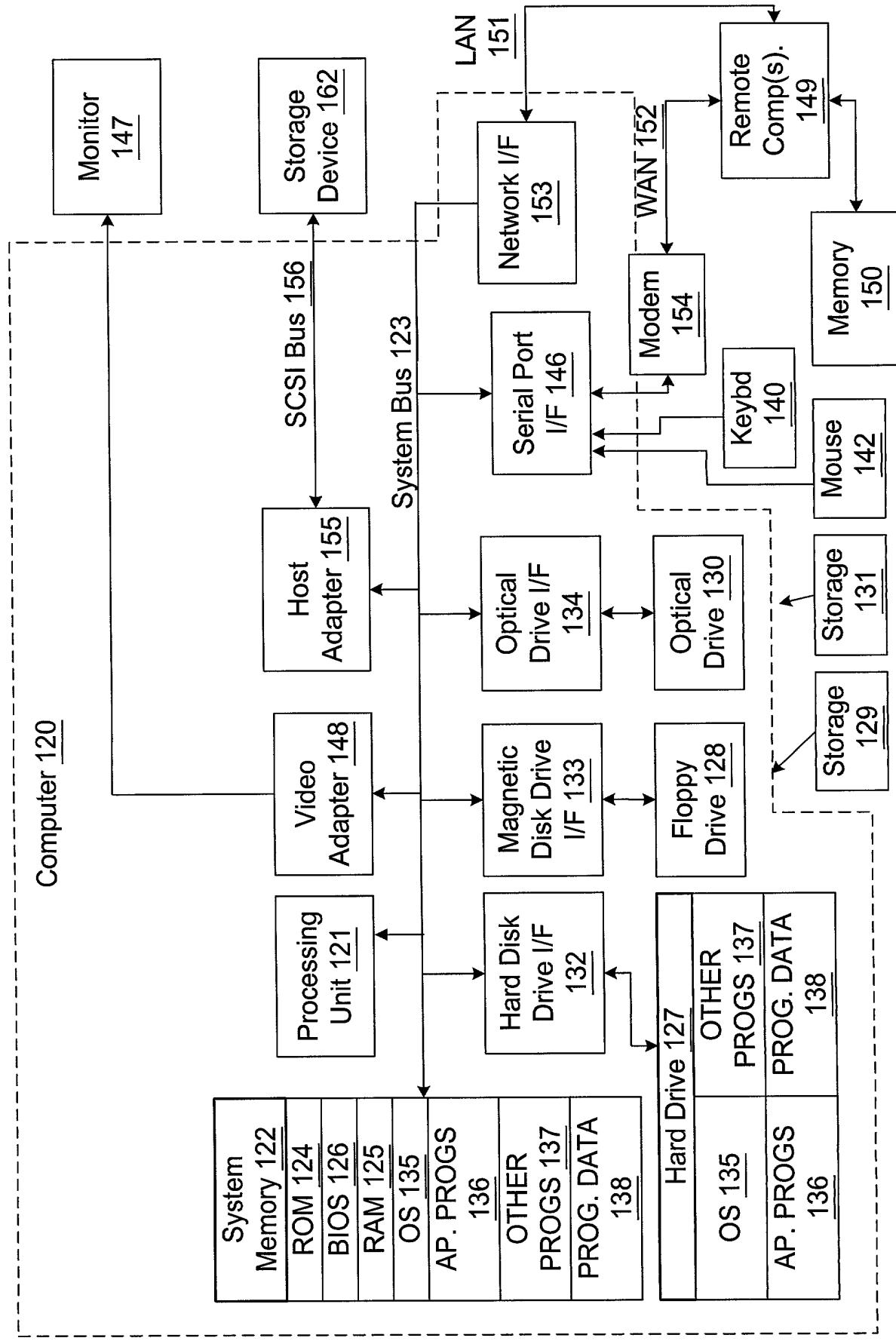
**Fig. 9**

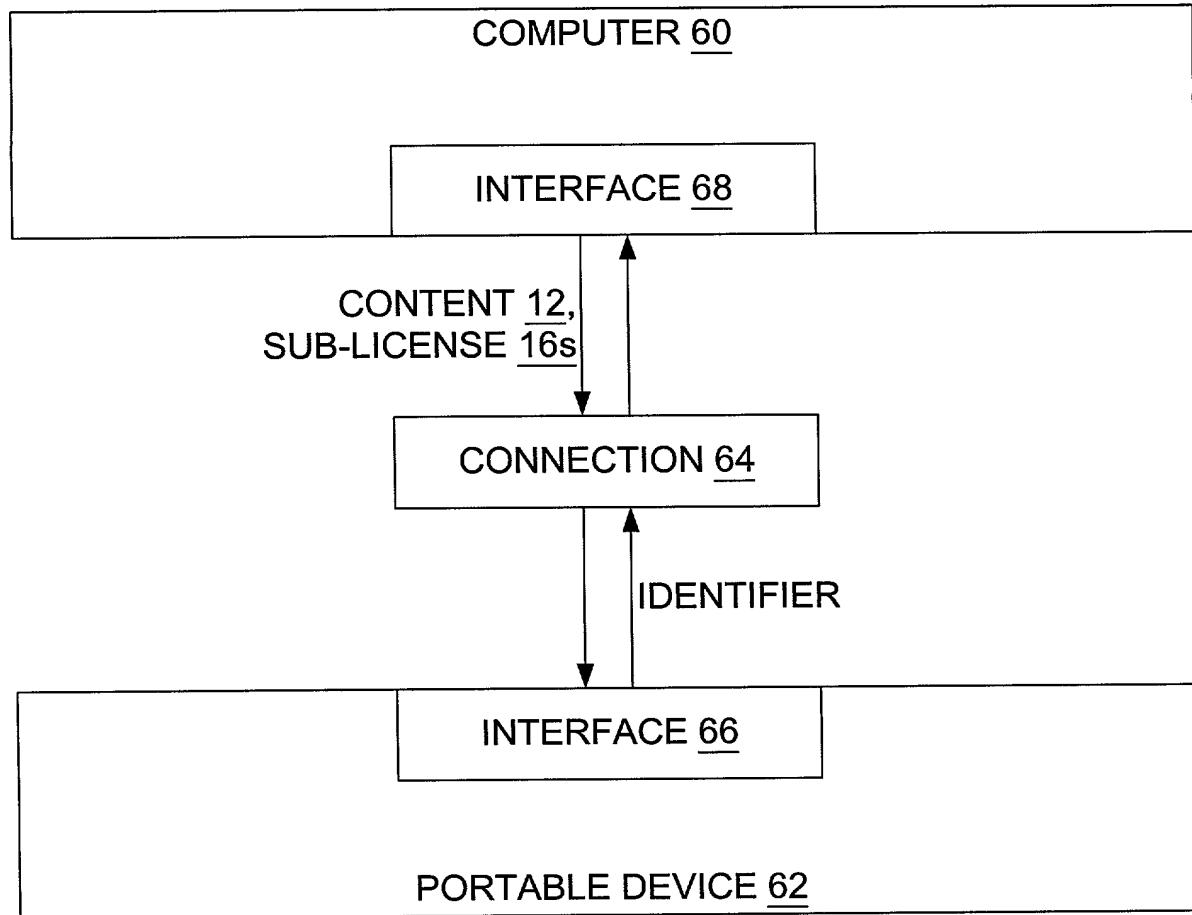


**Fig. 10**

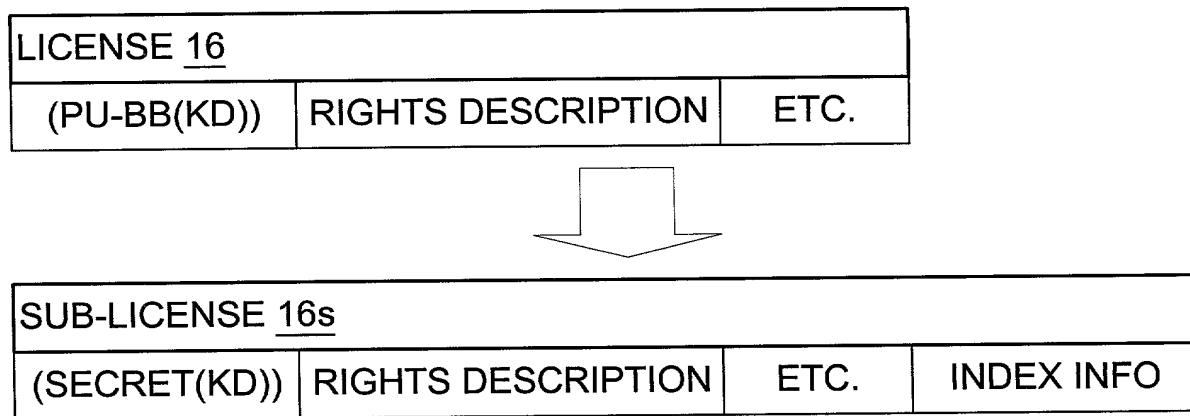


**Fig. 11**

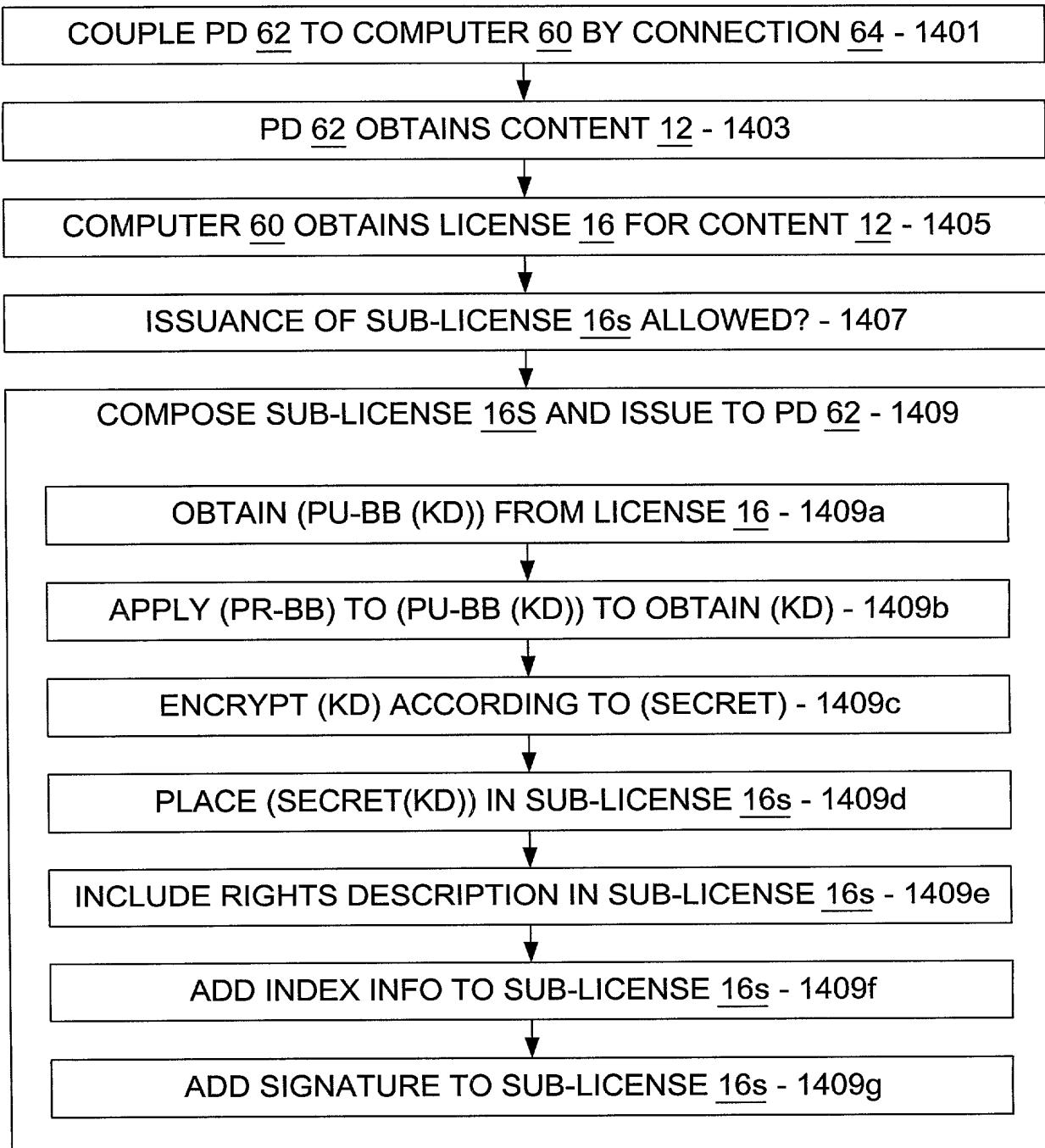
**Fig. 12**



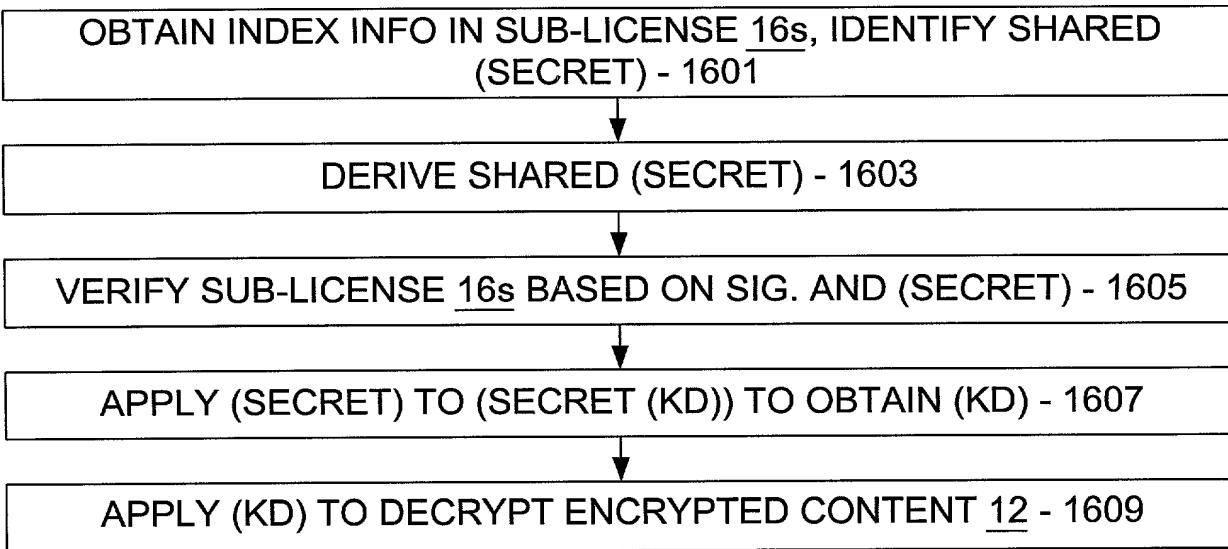
**FIG. 13**



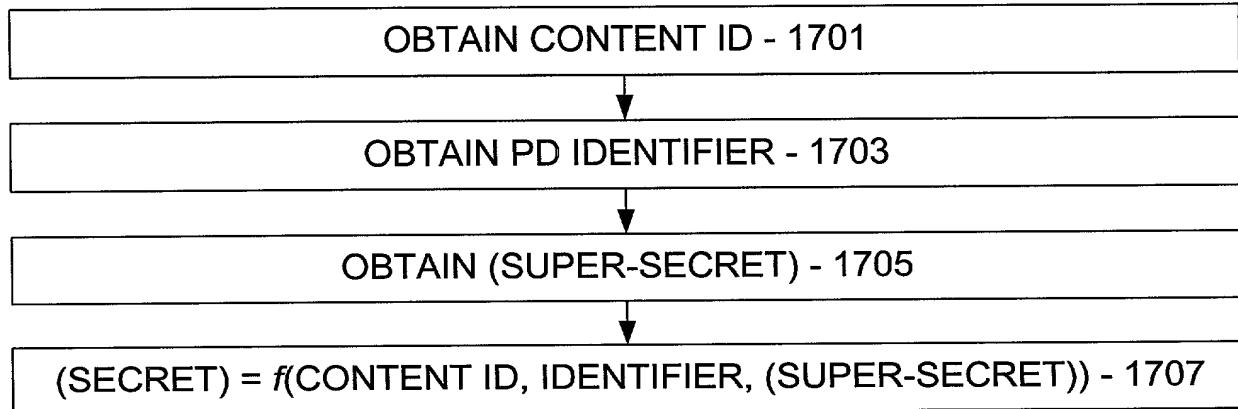
**FIG. 15**



**FIG. 14**

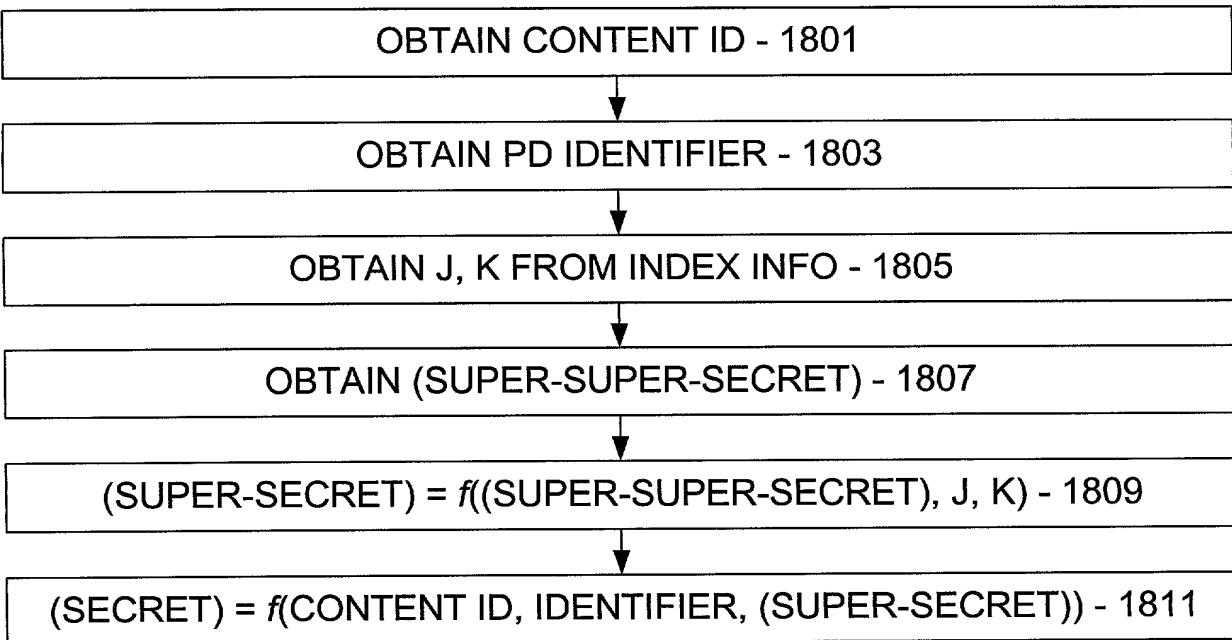


**FIG. 16**



**FIG. 17**

09045882 00000000



**FIG. 18**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

EL53143168US

Yuen Leung, Marcus Peinado, Cliff Strom

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

For: BINDING DIGITAL CONTENT TO A PORTABLE STORAGE DEVICE OR  
THE LIKE IN A DIGITAL RIGHTS MANAGEMENT (DRM) SYSTEM

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;  
and

I believe that I am the original, first and sole inventor (if only one name is listed below)  
or an original, first and joint inventor (if plural names are listed below) of the subject  
matter which is claimed and for which a

Utility Patent       Design Patent

is sought on the invention, whose title appears above, the specification of which:

is attached hereto.  
 was filed on \_\_\_\_\_ as Serial No. \_\_\_\_\_.  
 said application having been amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified  
specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all  
information known to be material to the patentability of this application in accordance  
with 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a-d) of any **foreign**  
**application(s)** for patent or inventor's certificate listed below and have also identified  
below any foreign application for patent or inventor's certificate having a filing date  
before that of any application on which priority is claimed.

Priority Claimed (If X'd)	Country	Serial Number	Date Filed
---------------------------------	---------	---------------	------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

I hereby claim the benefit under 35 U.S.C. §120 of any **United States application(s)** listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Serial Number	Date Filed	Patented/Pending/Abandoned
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States **provisional application(s)** listed below:

Serial Number	Date Filed
_____	_____
_____	_____
_____	_____

I hereby appoint the following persons as attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

DRAFTS & DRAFTS

<b>Name:</b>	
Marcus Peinado	<b>Signature:</b> _____
<b>Mailing Address:</b>	<b>Date of Signature:</b> _____
<b>City/State of Actual Residence</b>	<b>Citizenship:</b> _____

<b>Name:</b>	Cliff Strom
<b>Mailing Address:</b>	Signature: _____
<b>City/State of Actual Residence</b>	Date of Signature: _____
	Citizenship: _____